

FIG. 3

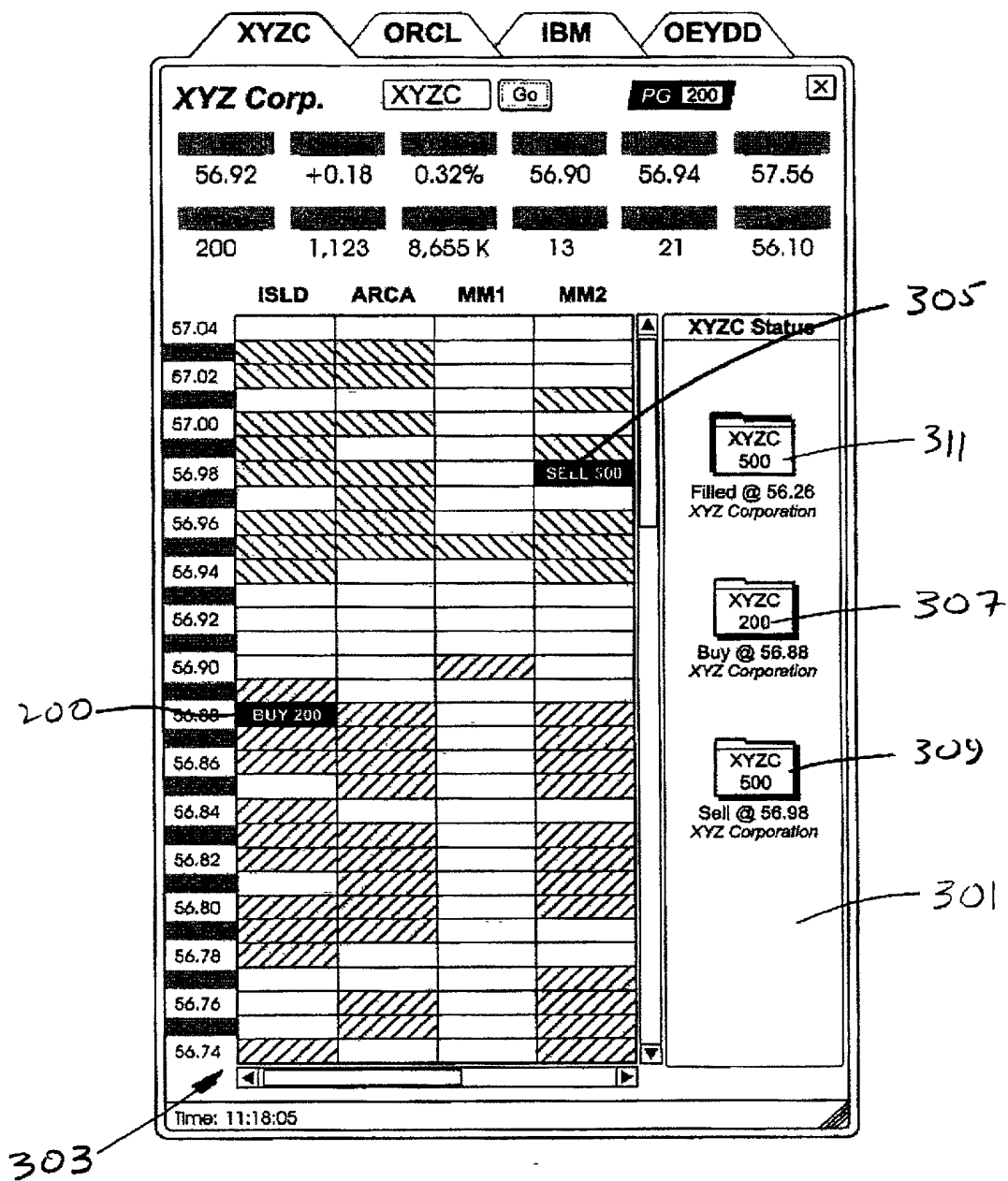


Fig. 3

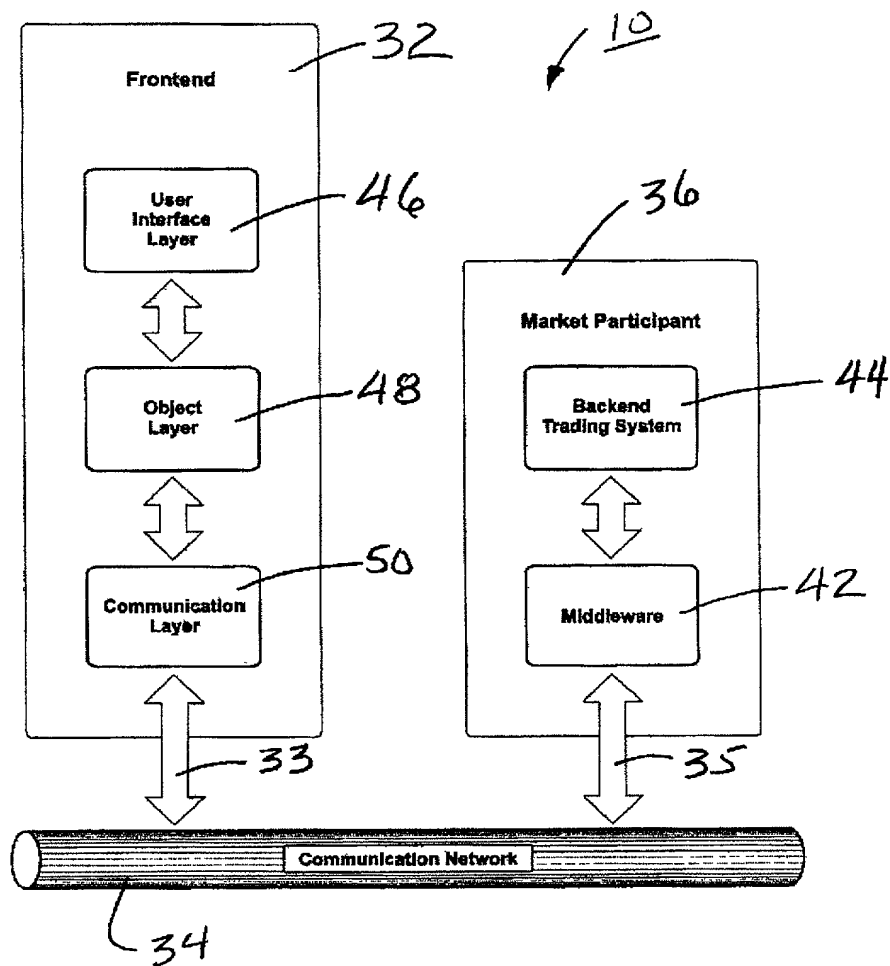


Fig. 4

20020246850

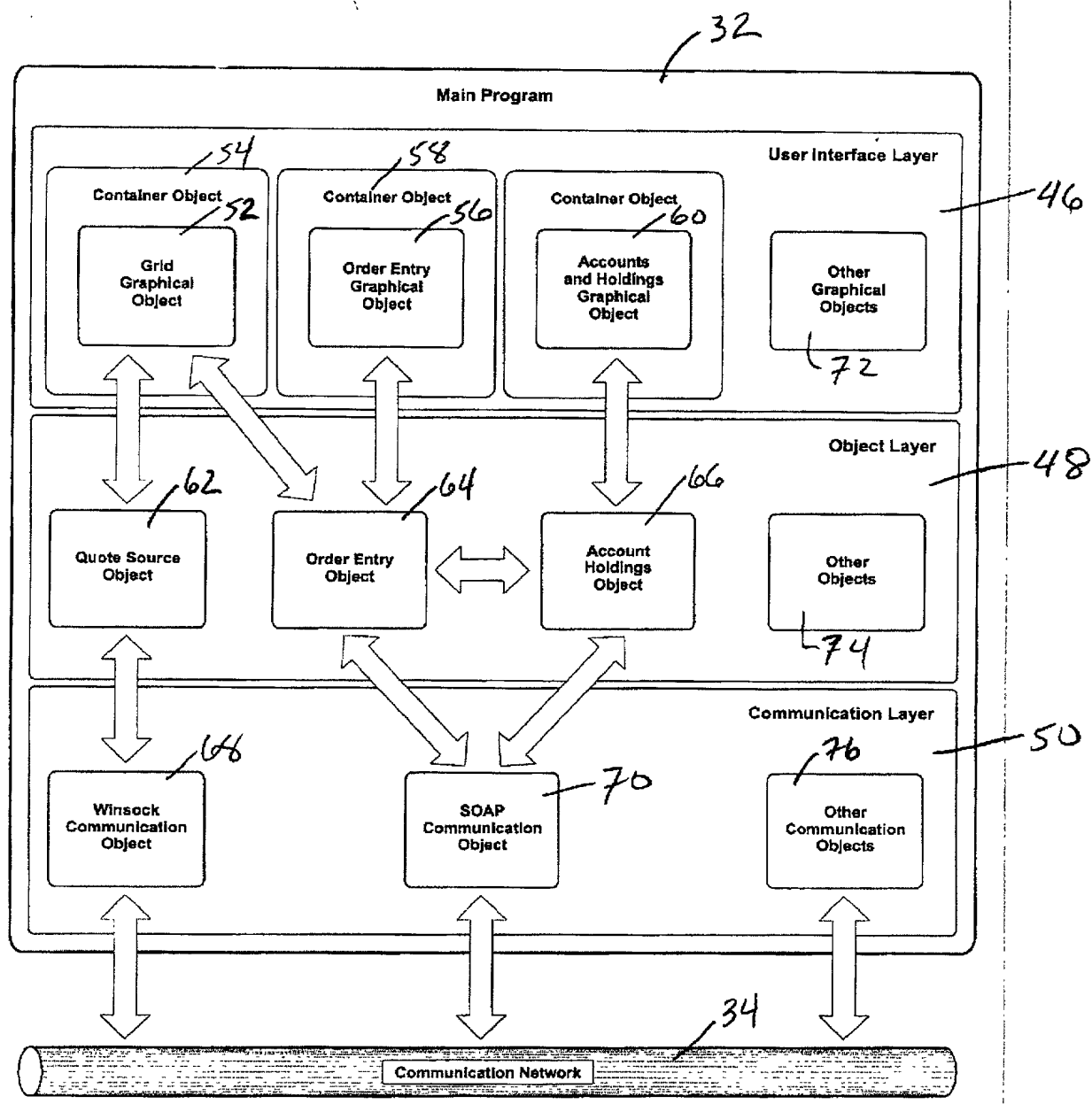


Fig. 5

00943 0940

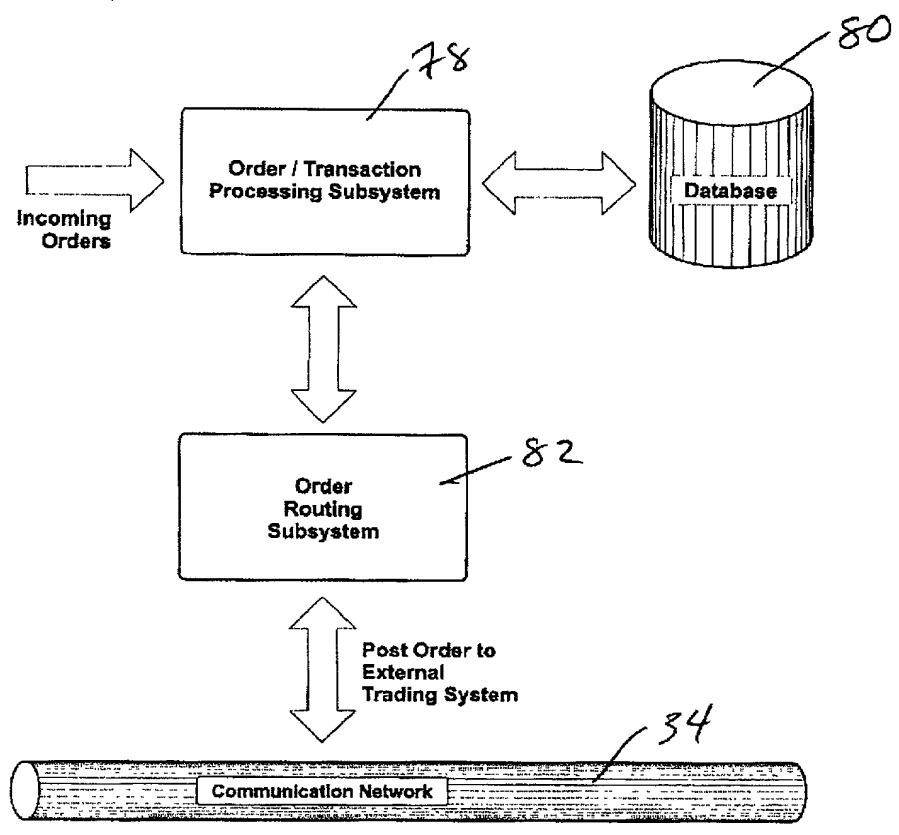


Fig. 6

FIG. 7

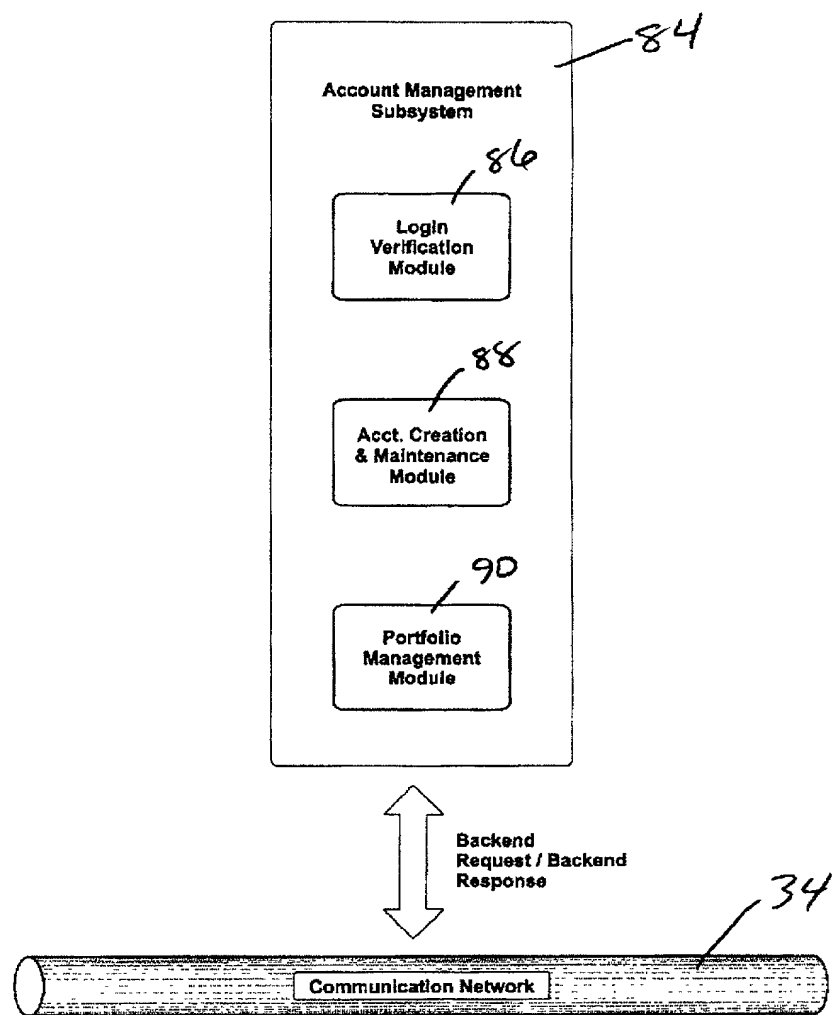


Fig. 7

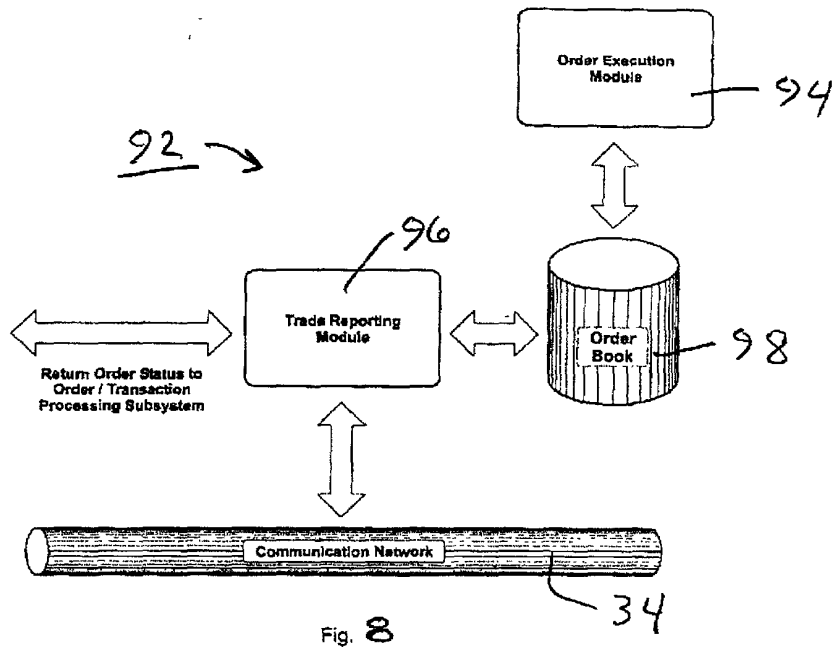


Fig. 8

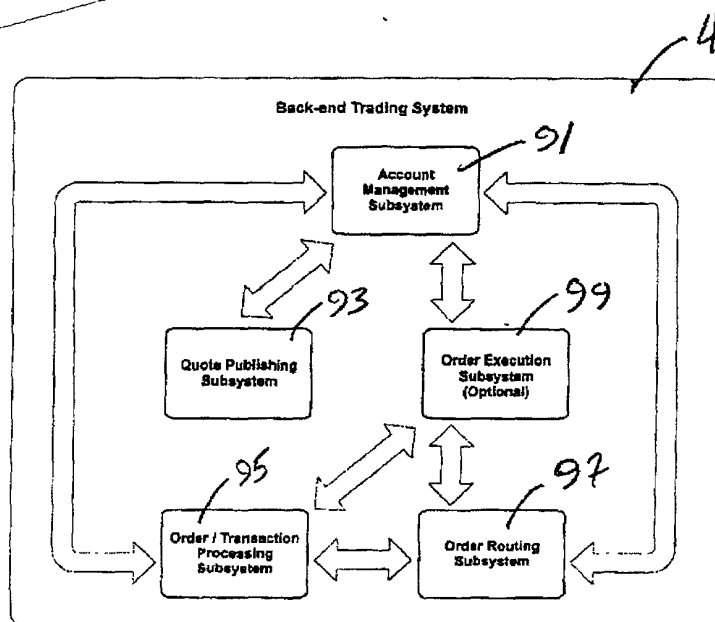
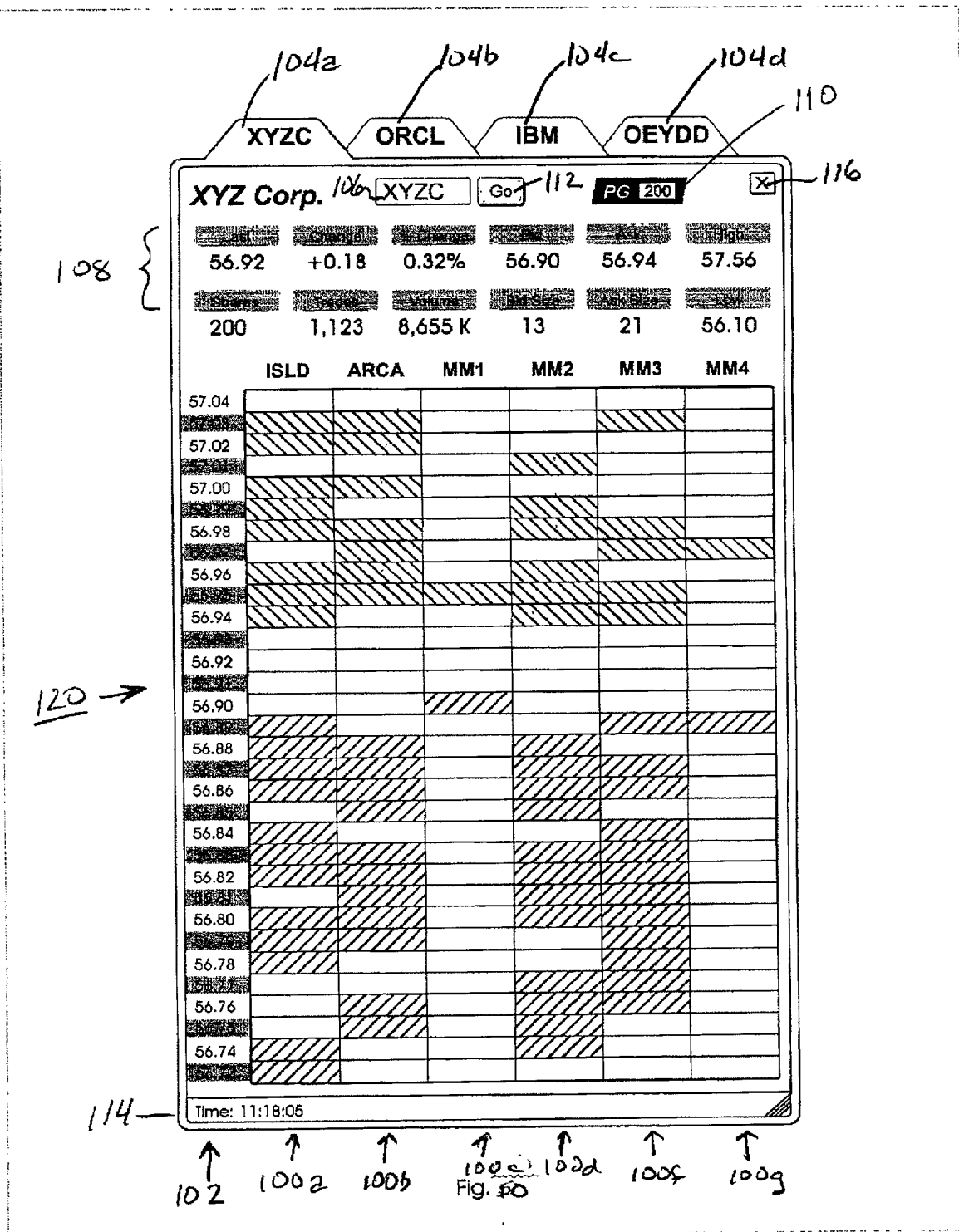
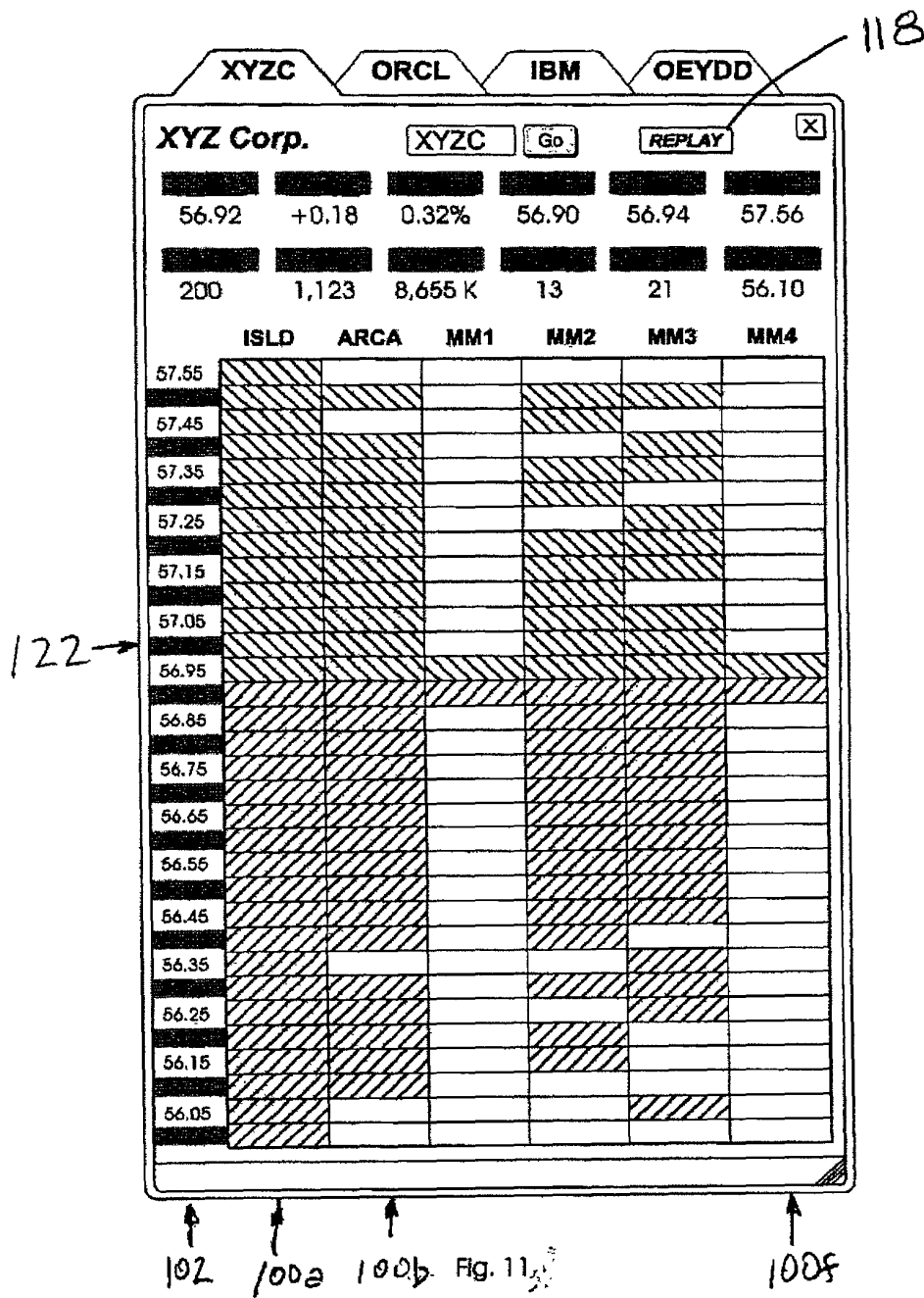


Fig. 9





120

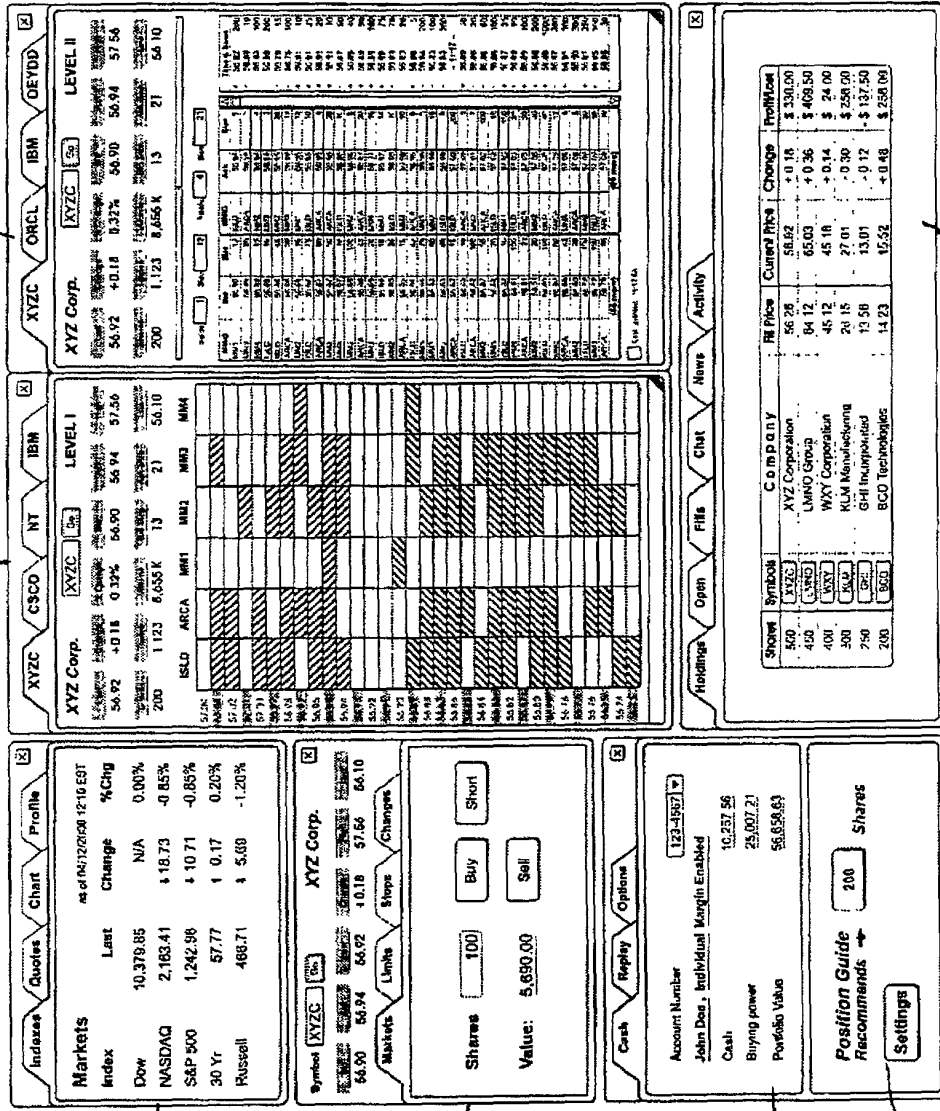
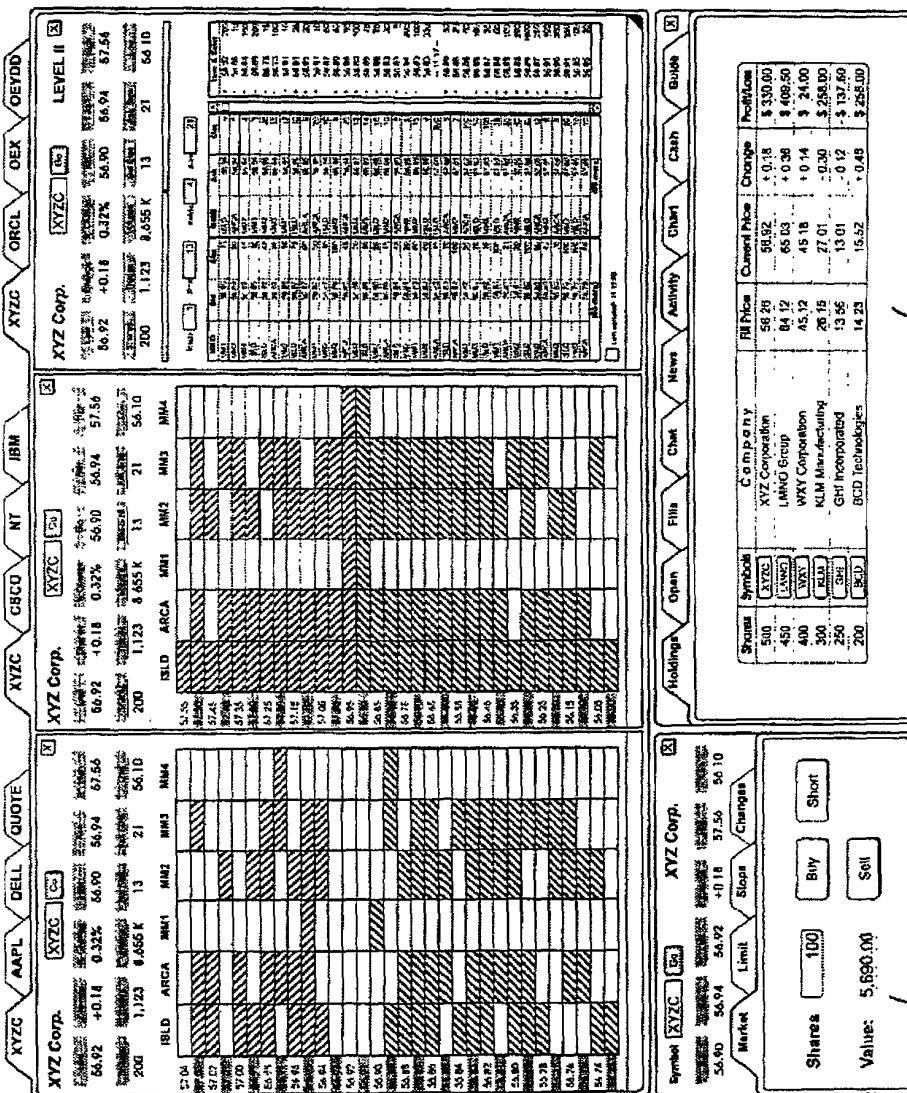


Fig. 12

120

122

124



128

132

Fig. 13

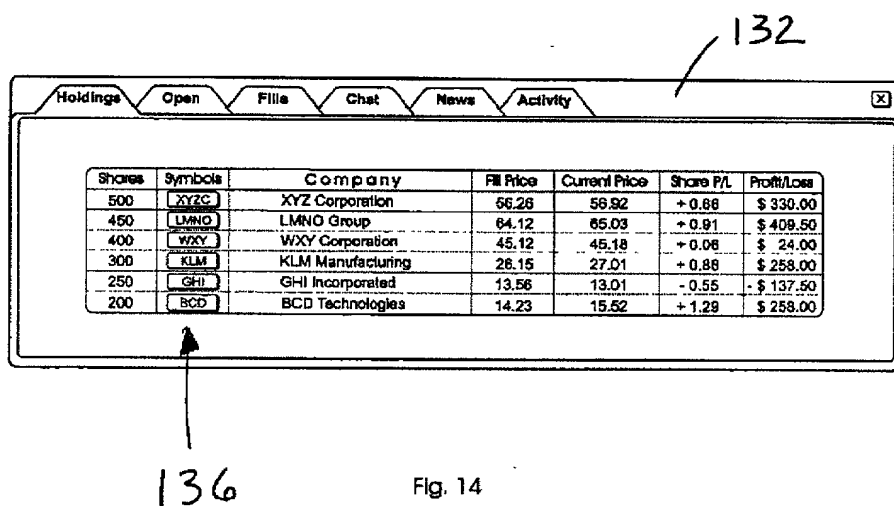


Fig. 14

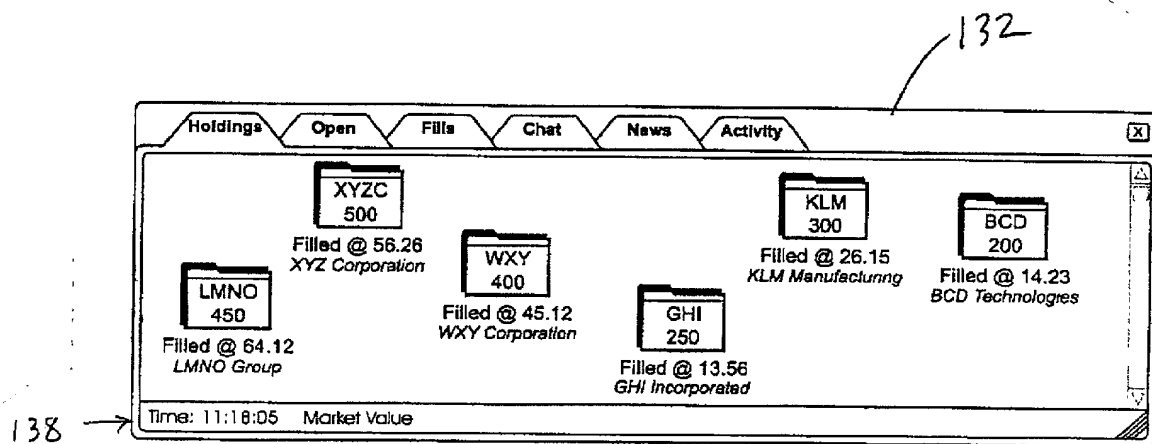


Fig. 15

127

Symbol XYZ Corp.

56.90 56.94 56.92 +0.18 57.56 56.10

Market Limit Stops Changes

Market 146

Shares 140

Value: \$ 5,690

Buy 144

Sell 142

Fig. 16

128

Symbol XYZ Corp.

56.90 56.94 56.92 +0.18 57.56 56.10

Market Limit Stops Changes

Market 146

Shares 140

Price 148

Value: \$ 5,690

Buy 144

Sell 142

Terms 150

Fig. 17

152

Symbol XYZ Corp.

56.90 56.94 56.92 +0.18 57.56 56.10

Market Limit Stops Changes

Order 154

Stop Price 156

Limit Price 158

Stop Buy 152

Stop Buy Limit 156

Stop Loss 158

Stop Loss Limit 160

Fig. 18

162

Symbol XYZ Corp.

56.90 56.94 56.92 +0.18 57.56 56.10

Market Limit Stops Changes

Market 162

Order Ref 166

New Shares 168

New Price 170

Submit 172

Terms 174

Fig. 19.1

FIG. 19.1

176

132

Change	Type	Symbol	Quantity	Price	Good Till	Time Entered	Order Ref
CHG	BUY	XYZC	200	56.90	02/12/2001	11:15:23	D43612
CHG	SHORT	LMNO	100	65.02	02/12/2001	10:29:58	D42997
CHG	BUY	KLM	100	26.98	02/12/2001	02/12/2001	D42967
CHG	BUY	XYZC	100	56.98	02/12/2001	10:05:48	D42876
CHG	SELL	BCD	100	15.48	02/16/2001	09:56:21	D42780
CHG	BUY	WXY	100	45.23	02/12/2001	08:45:01	D42654

178

Fig. 20

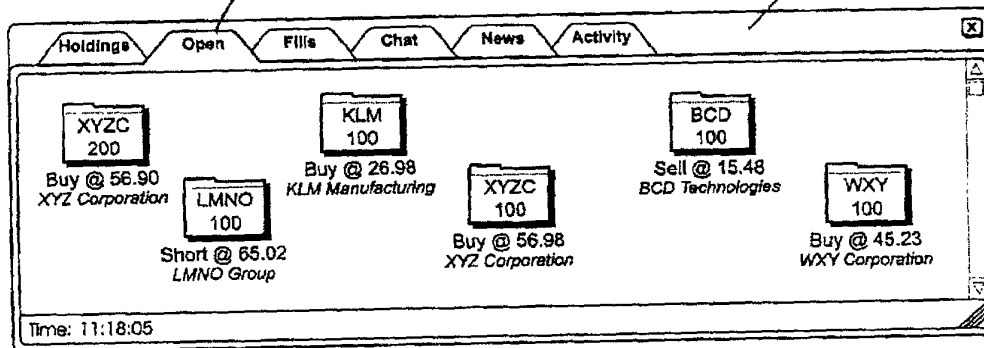


Fig. 21

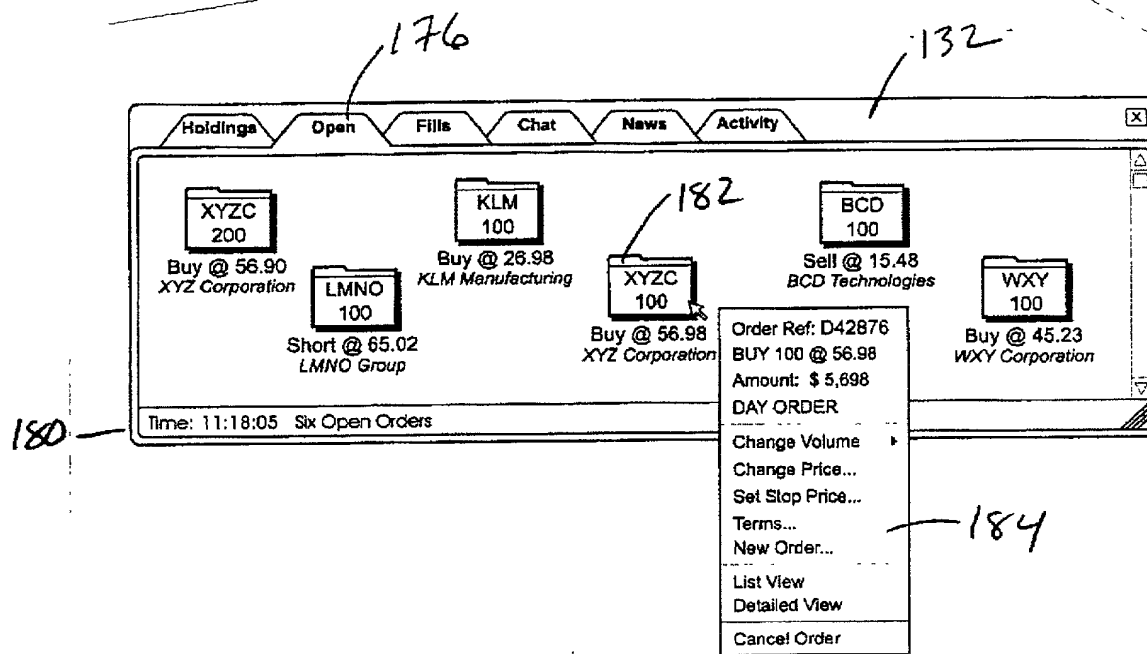


Fig. 22

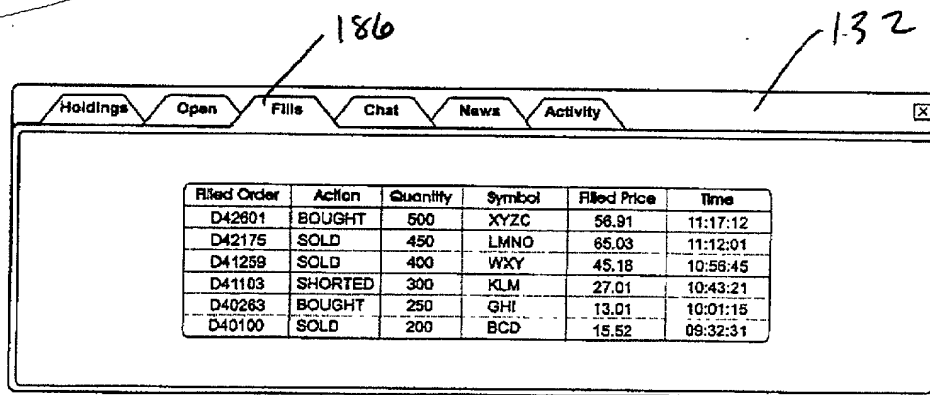


Fig. 23

Option Symbol: OEYDD

Specification: OPENING BUY

Order Type: LIMIT

Contracts: 10

Price: 1.70

OEX April 620 Calls
S & P 100 Index

Submit

Fig. 24

Symbol: XYZC

Display Options:
☒ Center Activity
☐ Show Bid/Ask
☐ Show Trades

From: Date: 02/12/2001 Time: 11:00:00 AM

To: Date: 02/12/2001 Time: 12:00:00 PM

Complete Animation In: Minutes

Replay Cancel

Fig. 39

Position Guide Settings

Symbol: PG 200

☒ Invest % of Portfolio
☐ Invest % of Buying Power

AND NO MORE THAN

\$ In each Stock
\$ In each Option

Advanced Settings Round Quantity: UP

MAXIMUM POSITION IS 2,000 SHARES

Position Guide Recommends: 200 Shares

Fig. 40

Special Terms Settings

☐ No Special Terms
☐ All or None
☒ Minimum Quantity: 200

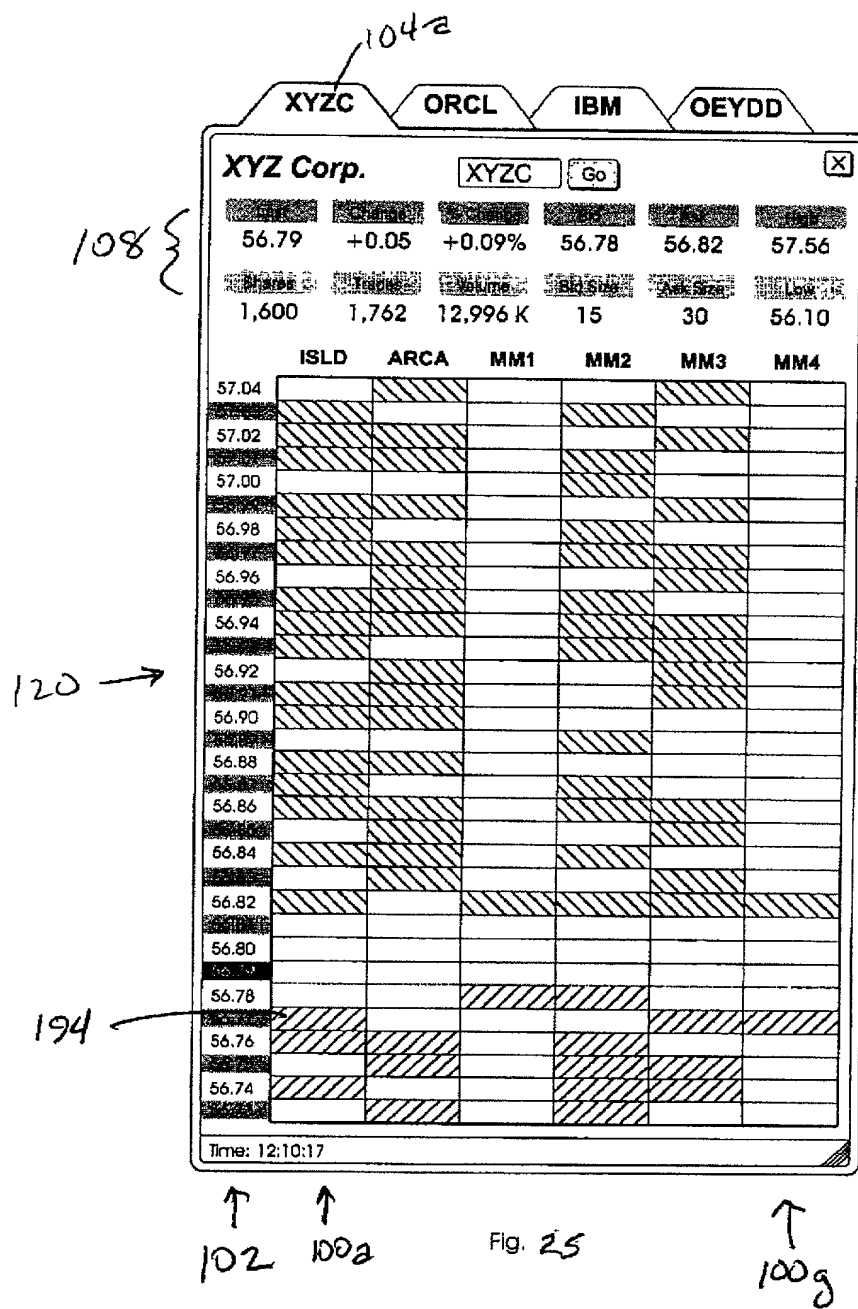
☒ Good Till Fill, 10 DAYS

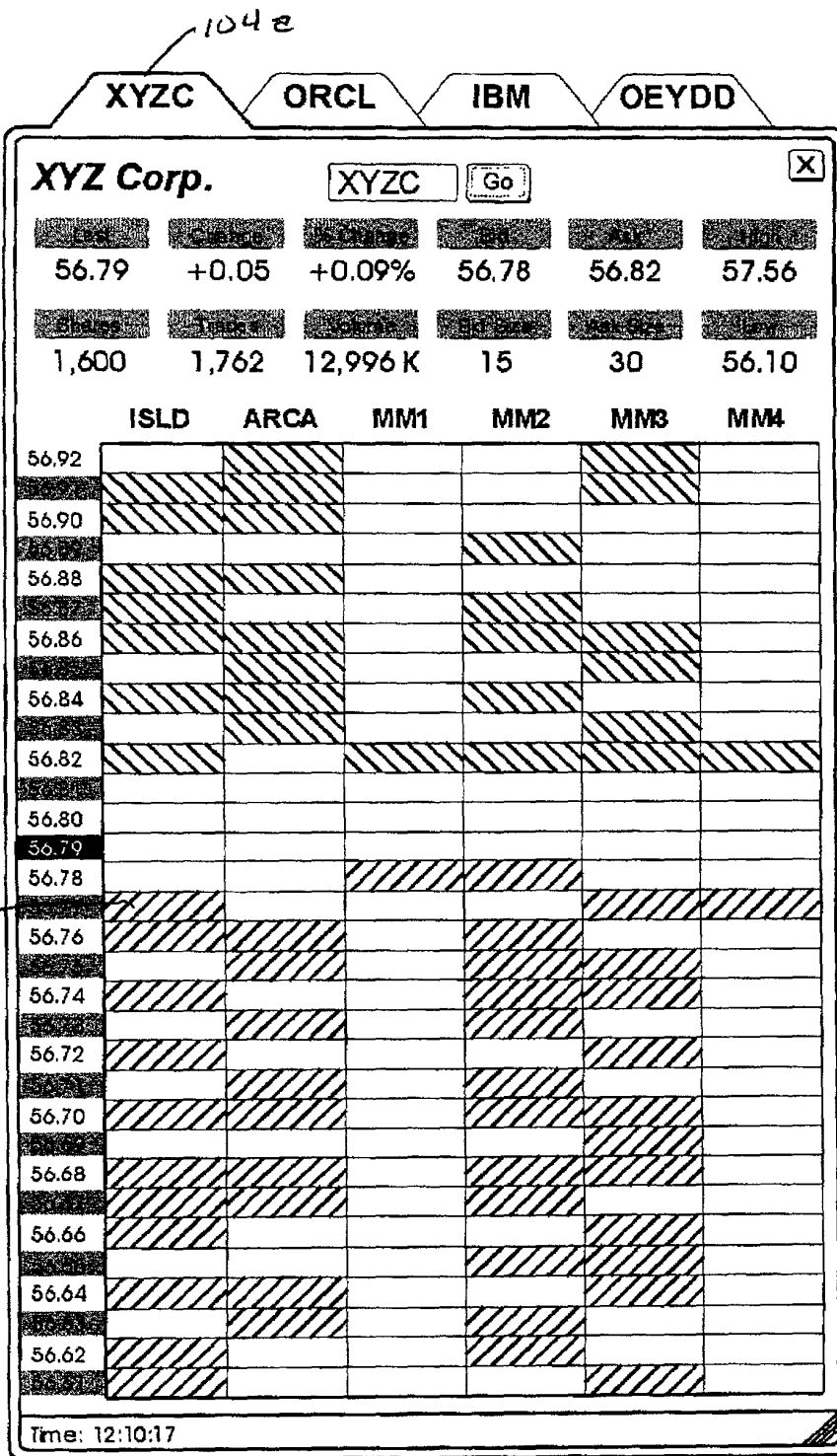
☐ Other Terms

Cancel Submit

Fig. 43

FIG. 25





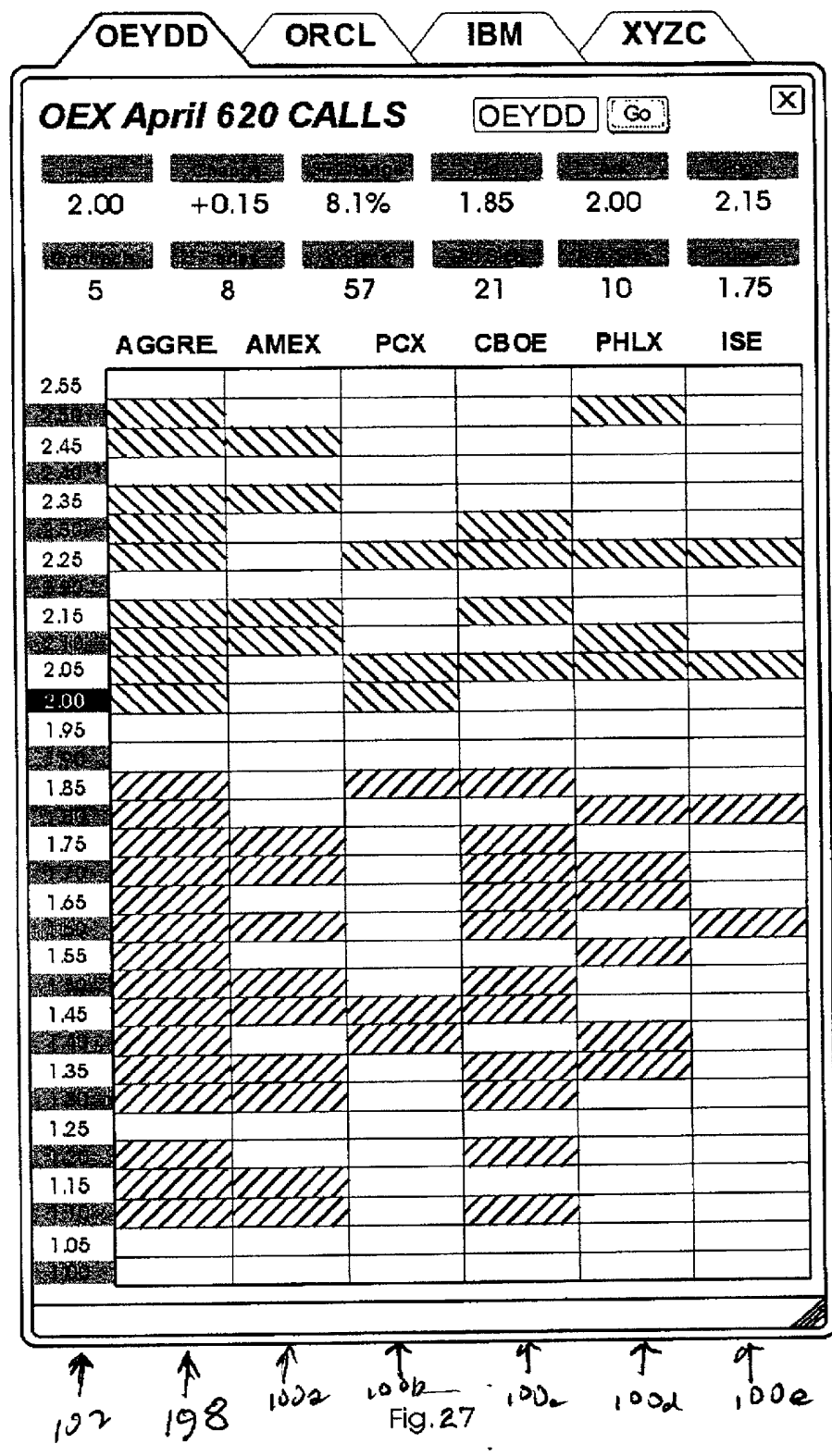
↑
102

↑
1002

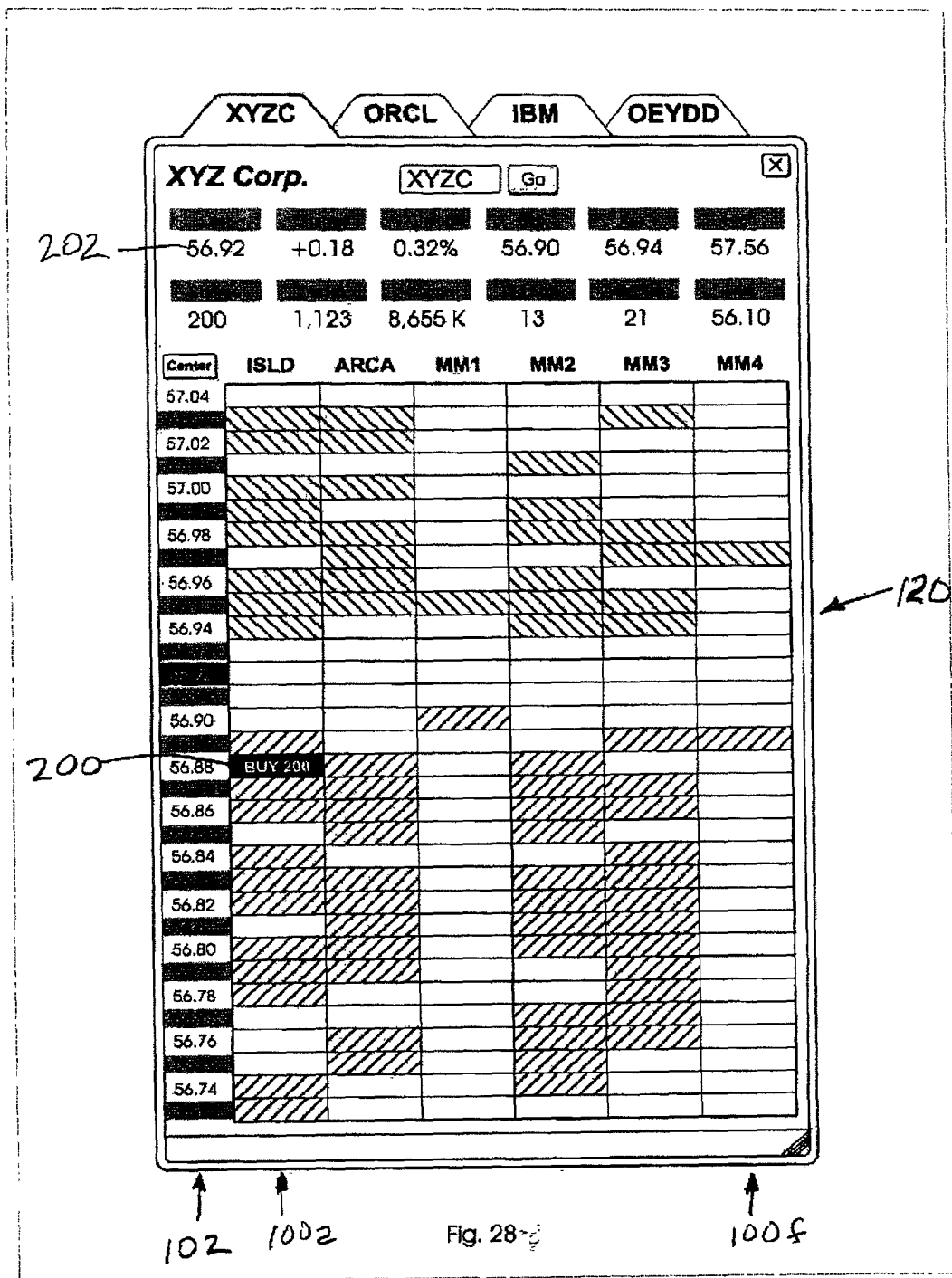
Fig. 26

↑
100g

100020" 2146850



← 196



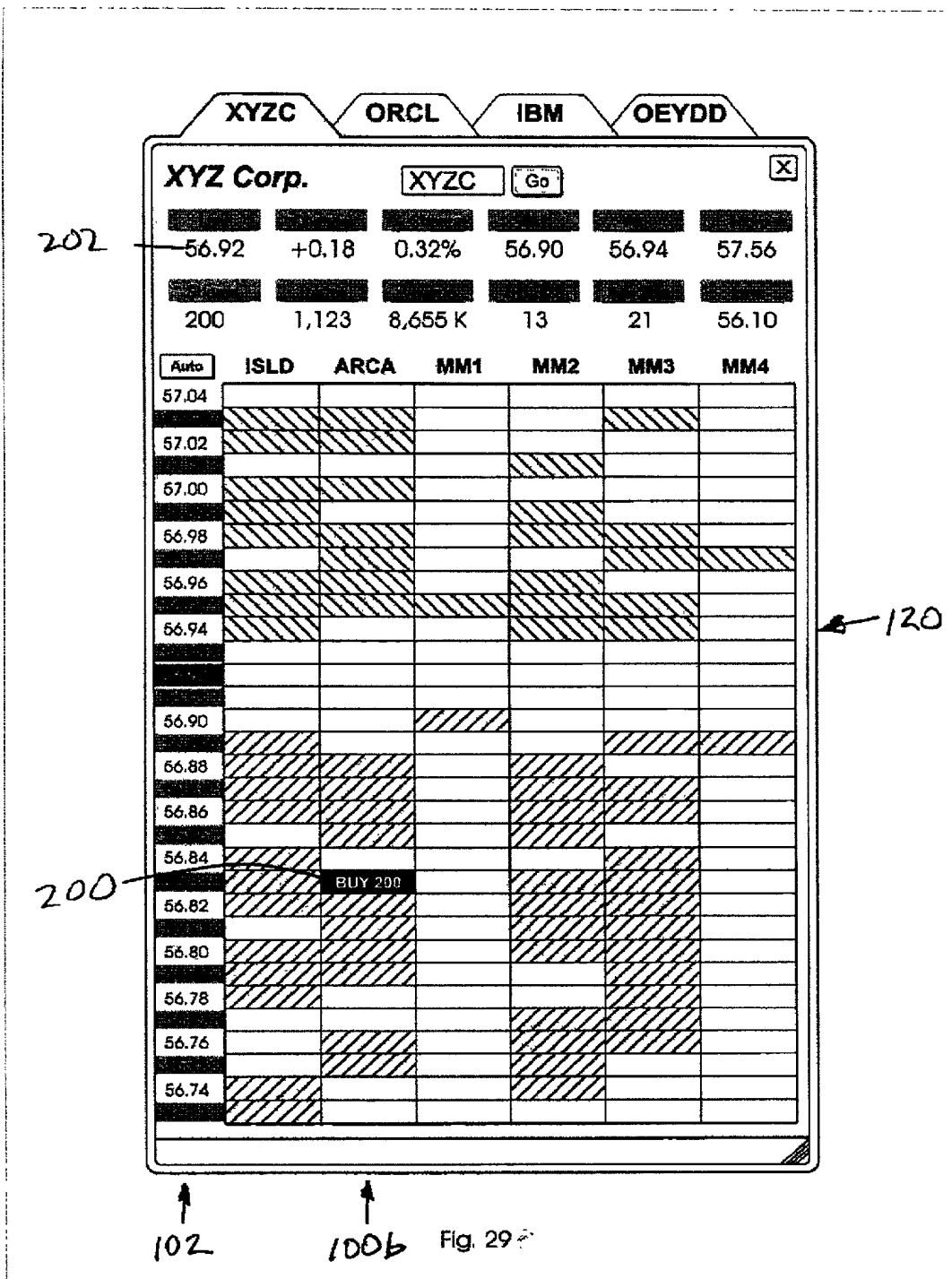
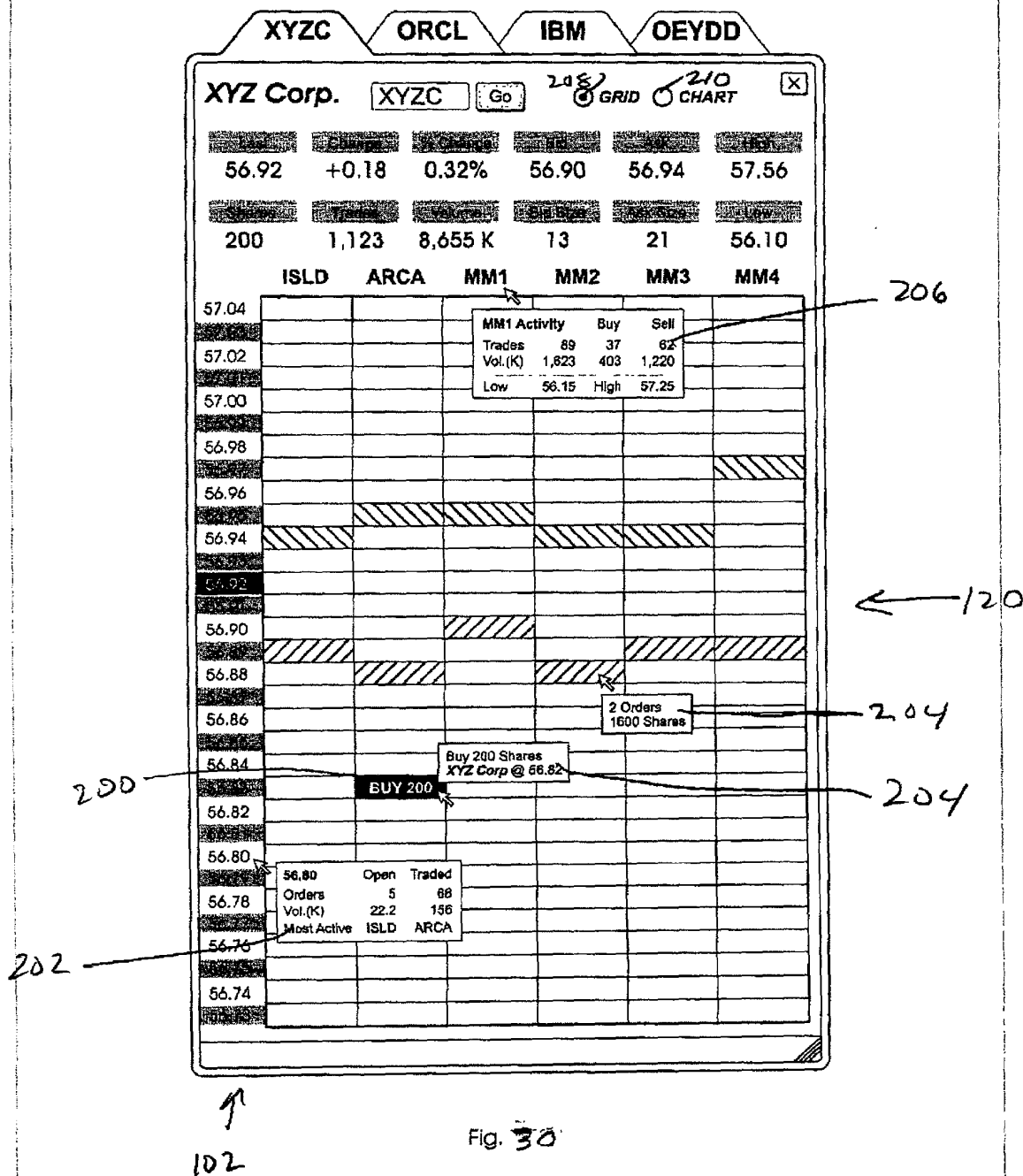


FIG. 30



706020 2426860

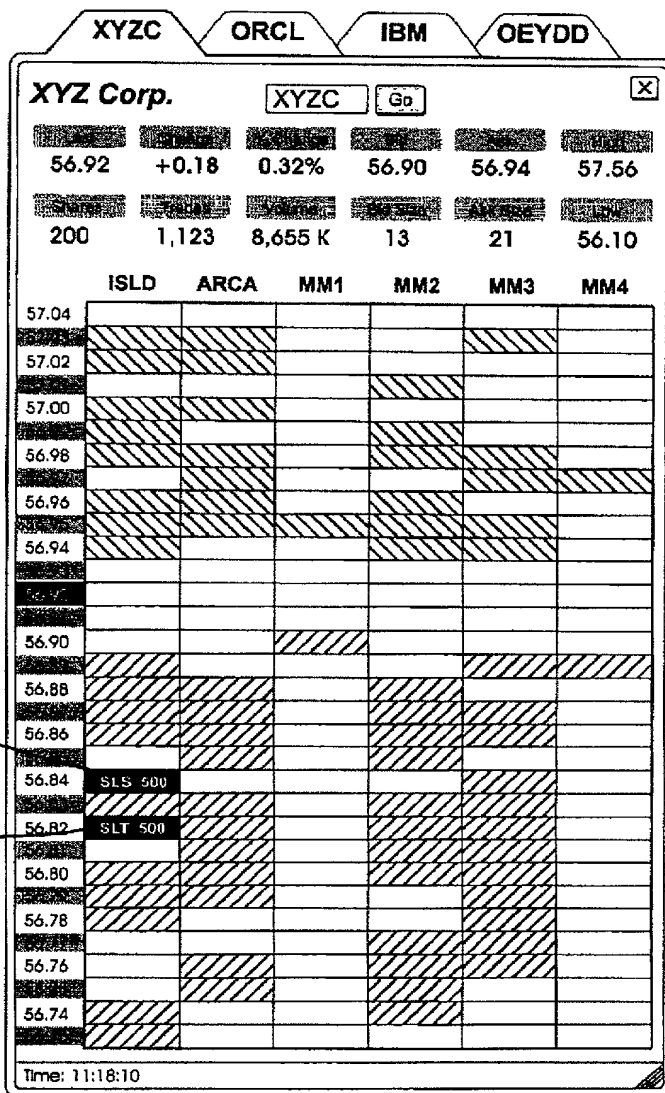


Fig. 31.

XYZC ORCL IBM OEYDD

XYZ Corp. XYZC Go

56.82 +0.08 0.14% 56.82 56.84 57.56

1000 1,623 11,530 K 56 18 56.10

ISLD ARCA MM1 MM2 MM3 MM4

57.04

57.02

57.00

56.98

56.96

56.94

56.92

56.90

56.88

56.86

56.84 SLS 500

56.82 SLT ✓

56.80

56.78

56.76

56.74

Time: 11:55:33

120 →

210 →

208 →

102 ↑

Fig. 32

↑
102

XYZC
ORCL
IBM
OEYDD

XYZ Corp.

XYZC

Go

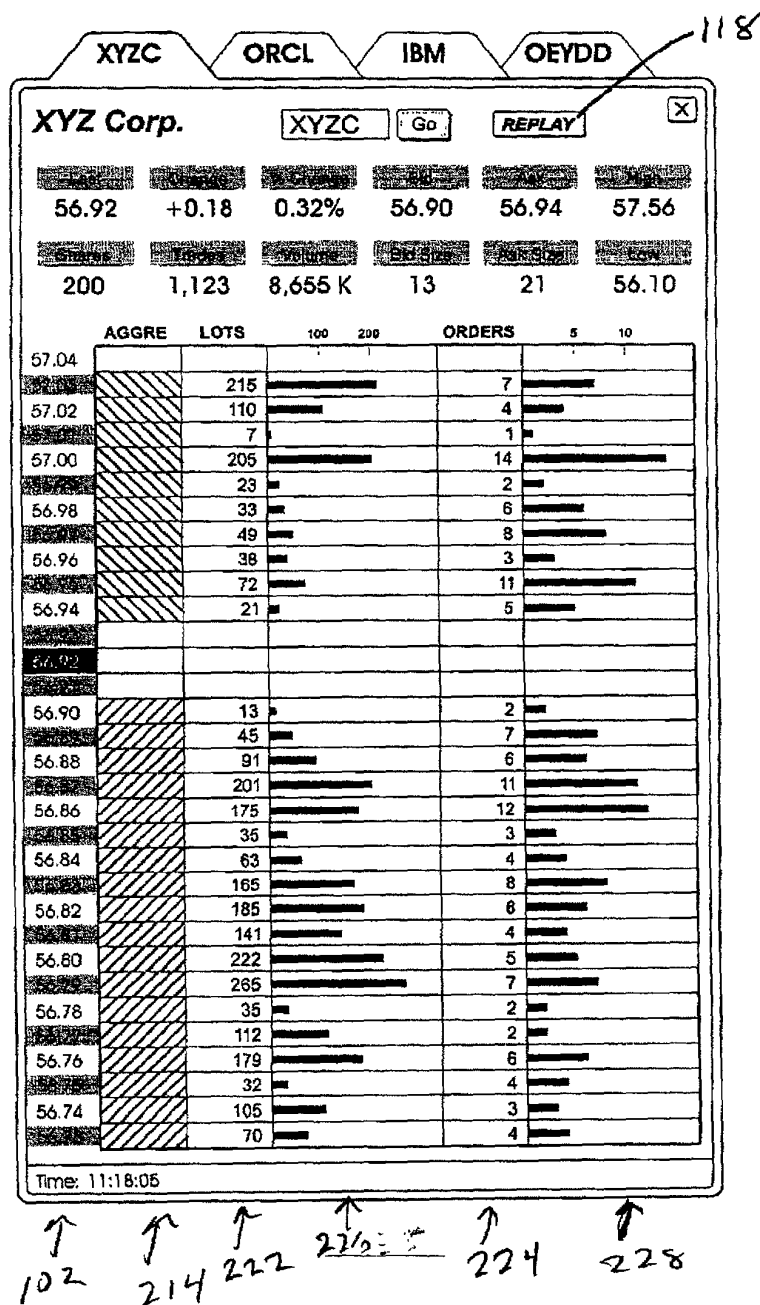
X

56.92	+0.18	0.32%	56.90	56.94	57.56
200	1,123	8,655 K	13	21	56.10

AGGRE
ISLD
ARCA
MM1
MM2
MM3
MM4

	AGGRE	ISLD	ARCA	MM1	MM2	MM3	MM4
57.04							
57.02							
57.00							
56.98							
56.96							
56.94							
56.92							
56.90							
56.88							
56.86							
56.84							
56.82							
56.80							
56.78							
56.76							
56.74							

Time: 11:18:05



00007437 070004

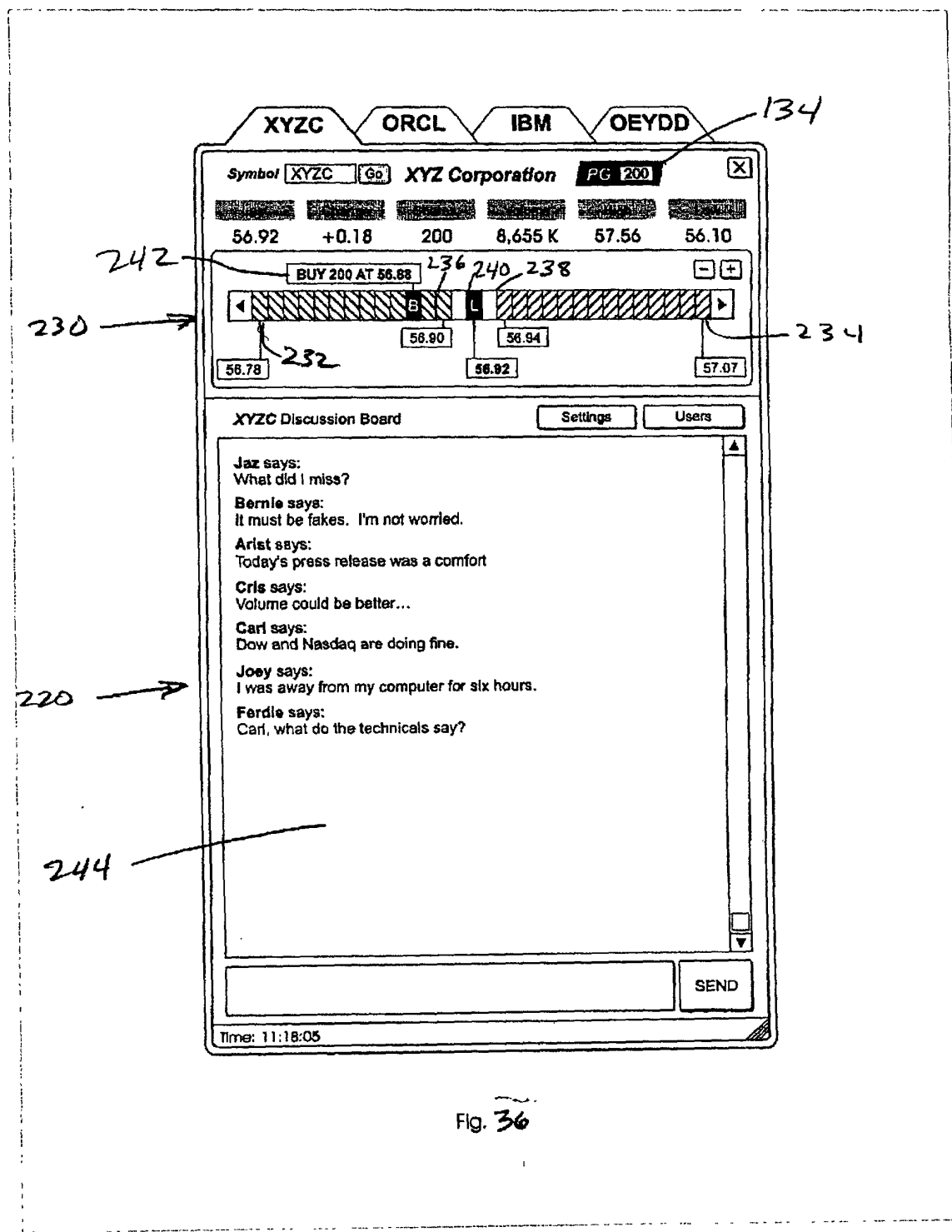
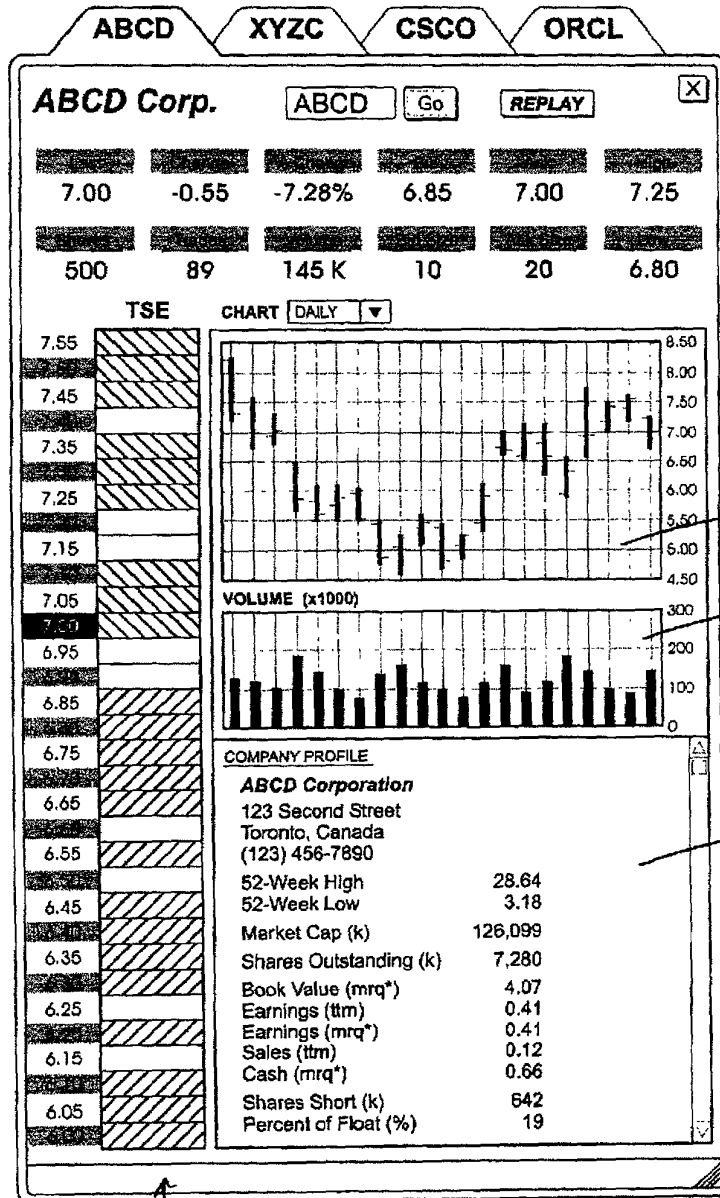


Fig. 36

FIG. 37



246 →

250

252

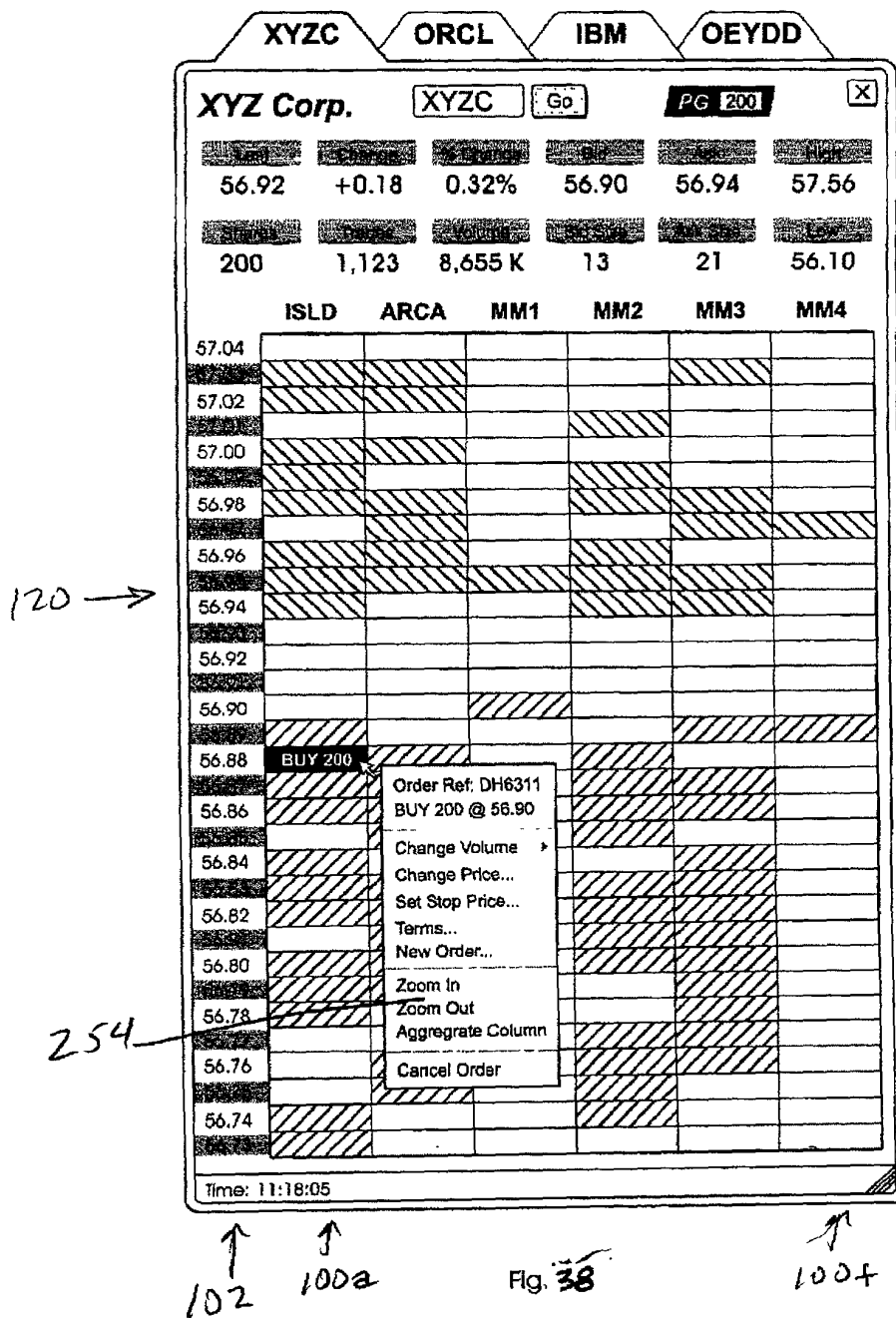
254

102

248

Fig. 37

TABLE 200



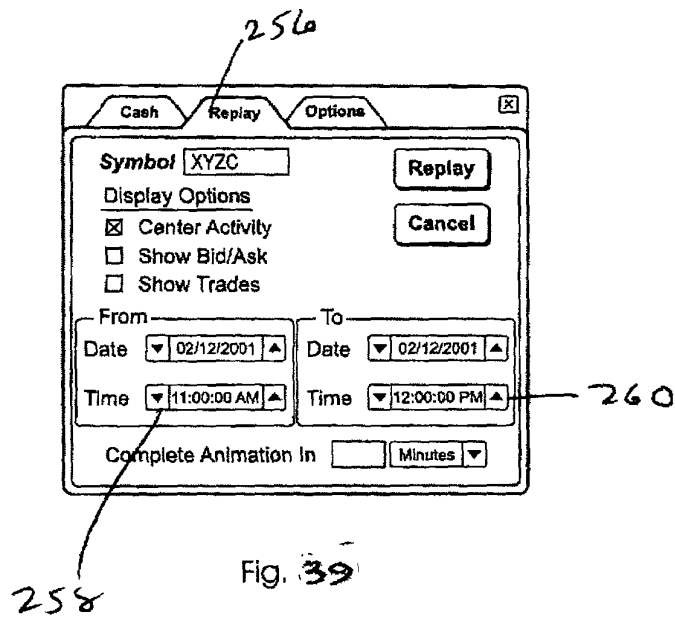


Fig. 39

FIG. 4B

Position Guide Settings

Symbol

☒ Invest % of Portfolio
☐ Invest % of Buying Power

AND NO MORE THAN

\$ In each Stock
\$ In each Option

Round Quantity

MAXIMUM POSITION IS 2,000 SHARES

Position Guide Recommends Shares

134

264

262

Fig. 4B

The image displays three sequential screenshots of a financial trading terminal, likely from a 1980s-era computer system. Each screen shows a different trading instrument and includes a grid for order entry and execution details.

Screen 1: XYZC
 Symbol: XYZC Go XYZ Corporation PG 200
 56.92 +0.18 200 8,655 K 57.56 56.10
 The grid shows a sell order for 500 shares at 57.50. The execution price is 57.36. The order is filled at 57.56. The grid also shows a buy order for 200 shares at 56.88. The execution price is 56.78. The order is filled at 56.90. The grid also shows a sell order for 500 shares at 57.50. The execution price is 57.36. The order is filled at 57.56. The grid also shows a buy order for 200 shares at 56.88. The execution price is 56.78. The order is filled at 56.90.

Screen 2: ZXY April 50 Calls
 Symbol: XYZDJ Go ZXY April 50 Calls PG 10
 7.20 +0.20 5 72 7.80 6.50
 The grid shows a sell order for 7 shares at 8.10. The execution price is 7.70. The order is filled at 7.80. The grid also shows a buy order for 72 shares at 7.20. The execution price is 7.00. The order is filled at 7.20. The grid also shows a sell order for 7 shares at 8.10. The execution price is 7.70. The order is filled at 7.80.

Screen 3: ABCD Corp. (TSE)
 Symbol: ABCD Go ABCD Corp. (TSE) PG 500
 7.00 -0.55 500 145 K 7.25 6.80
 The grid shows a sell order for 500 shares at 7.25. The execution price is 7.00. The order is filled at 7.25. The grid also shows a buy order for 145 shares at 7.00. The execution price is 6.85. The order is filled at 7.00. The grid also shows a sell order for 500 shares at 7.25. The execution price is 7.00. The order is filled at 7.25.

Fig. 41

XYZC

ORCL

IBM

OEYDD

XYZ Corp.

XYZC

Go

LEVEL II

X

56.92

+0.18

0.32%

56.90

56.94

57.56

200

1,123

8,655 K

13

21

56.10

Inside 1

Size 13

Inside 4

Size 21

MMID	Bid	Size	MMID	Ask	Size
MM1	56.90	13	ISLD	56.94	9
MM3	56.89	20	ARCA	56.94	5
MM4	56.89	15	MM2	56.94	4
ISLD	56.89	10	MM3	56.94	3
ISLD	56.88	45	MM2	56.95	26
ARCA	56.88	30	MM3	56.95	15
MM2	56.88	16	MM1	56.95	12
ISLD	56.87	75	ISLD	56.95	10
ARCA	56.87	80	ARCA	56.95	9
MM2	56.87	50	ARCA	56.96	20
MM3	56.87	18	ISLD	56.96	10
MM2	56.86	100	MM2	56.96	8
ARCA	56.86	45	ARCA	56.97	20
MM3	56.86	20	MM4	56.97	15
ISLD	56.86	10	MM3	56.97	14
MM2	56.85	20	ISLD	56.98	10
ARCA	56.85	15	MM2	56.98	10
ISLD	56.84	43	ARCA	56.98	8
MM3	56.84	20	MM3	56.98	5
MM3	56.83	80	MM2	56.99	16
MM2	56.83	60	ISLD	56.99	8
ARCA	56.83	15	ISLD	57.00	200
ISLD	56.83	10	ARCA	57.00	5
ARCA	56.82	100	MM2	57.01	7
MM2	56.82	55	ARCA	57.02	100
MM3	56.82	20	ISLD	57.02	10
ISLD	56.82	10	MM3	57.03	150
MM3	56.81	100	ISLD	57.03	35
ARCA	56.81	21	ARCA	57.03	30
MM2	56.81	20	MM2	57.05	40
ISLD	56.80	100	ISLD	57.05	40
MM3	56.80	60	ARCA	57.05	12
ARCA	56.80	42	MM3	57.05	8
MM2	56.80	20	ARCA	57.06	5
ISLD	56.79	100	MM2	57.09	20
MM3	56.79	100	ISLD	57.09	10
ARCA	56.79	65	ARCA	57.09	10

(85 more)

(86 more)

Time & Sales
+ 56.92 200
- 56.88 10
- 56.88 100
- 56.88 200
- 56.75 15
- 56.75 100
+ 56.91 10
+ 56.91 25
+ 56.91 20
+ 56.91 10
- 56.87 50
+ 56.89 40
+ 56.89 50
+ 56.89 100
+ 56.89 75
+ 56.89 70
- 56.83 20
+ 56.90 5
- 56.84 200
- 56.83 100
- 56.83 350
- 11:17 -
+ 56.89 30
- 56.86 20
- 56.86 60
- 56.86 100
+ 56.87 35
+ 56.89 50
+ 56.89 100
+ 56.88 200
+ 56.88 1000
- 56.87 250
+ 56.91 100
- 56.90 300
+ 56.91 350
- 56.85 100
- 56.85 30

Last updated: 11:17:56

Fig. 42

FIG. 20

Special Terms Settings

☐ No Special Terms

☐ All or None

☒ Minimum Quantity

☒ Good Till Fill, DAYS

☐ Other Terms

300

Fig. 43

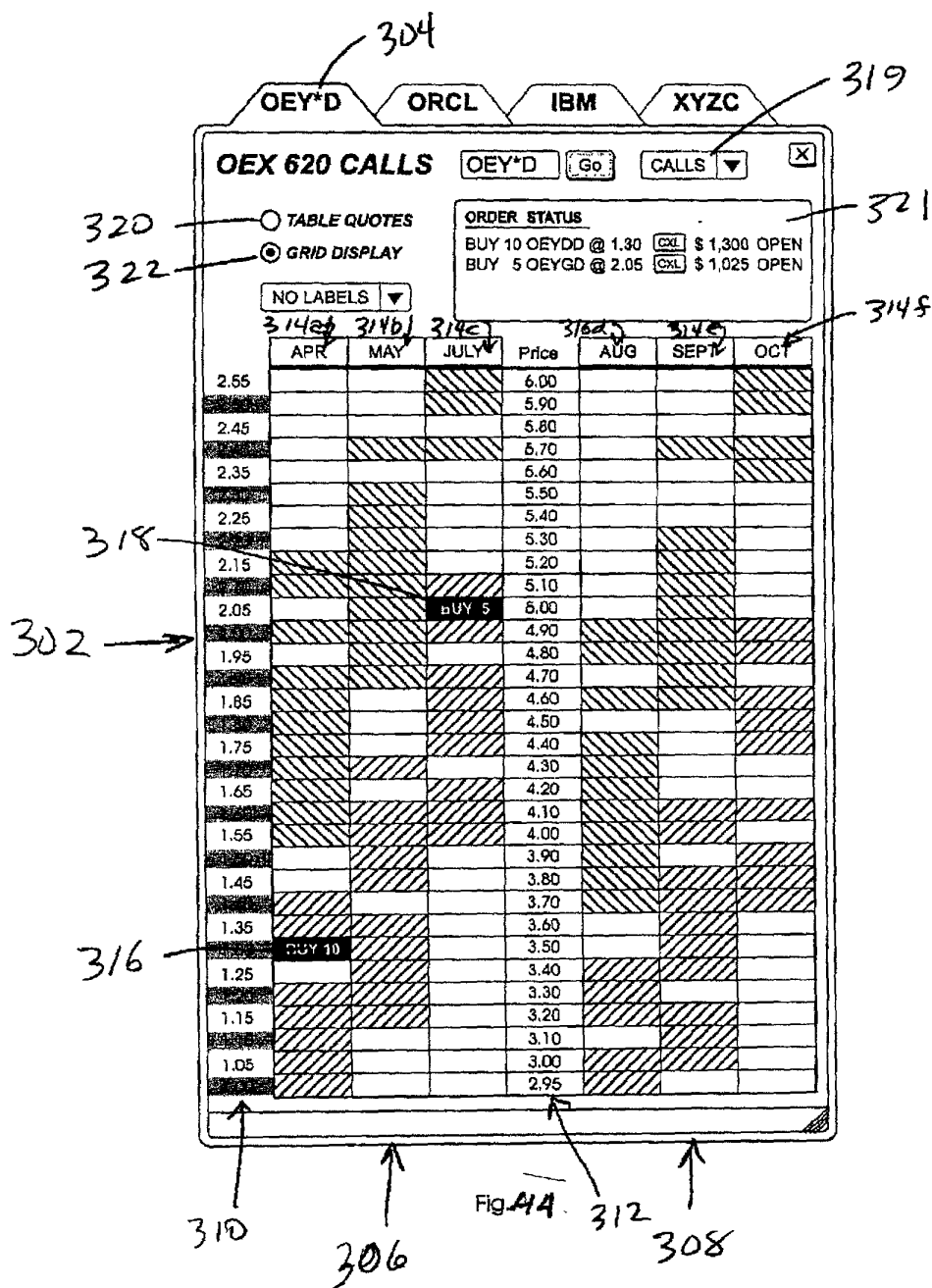


FIG. 45

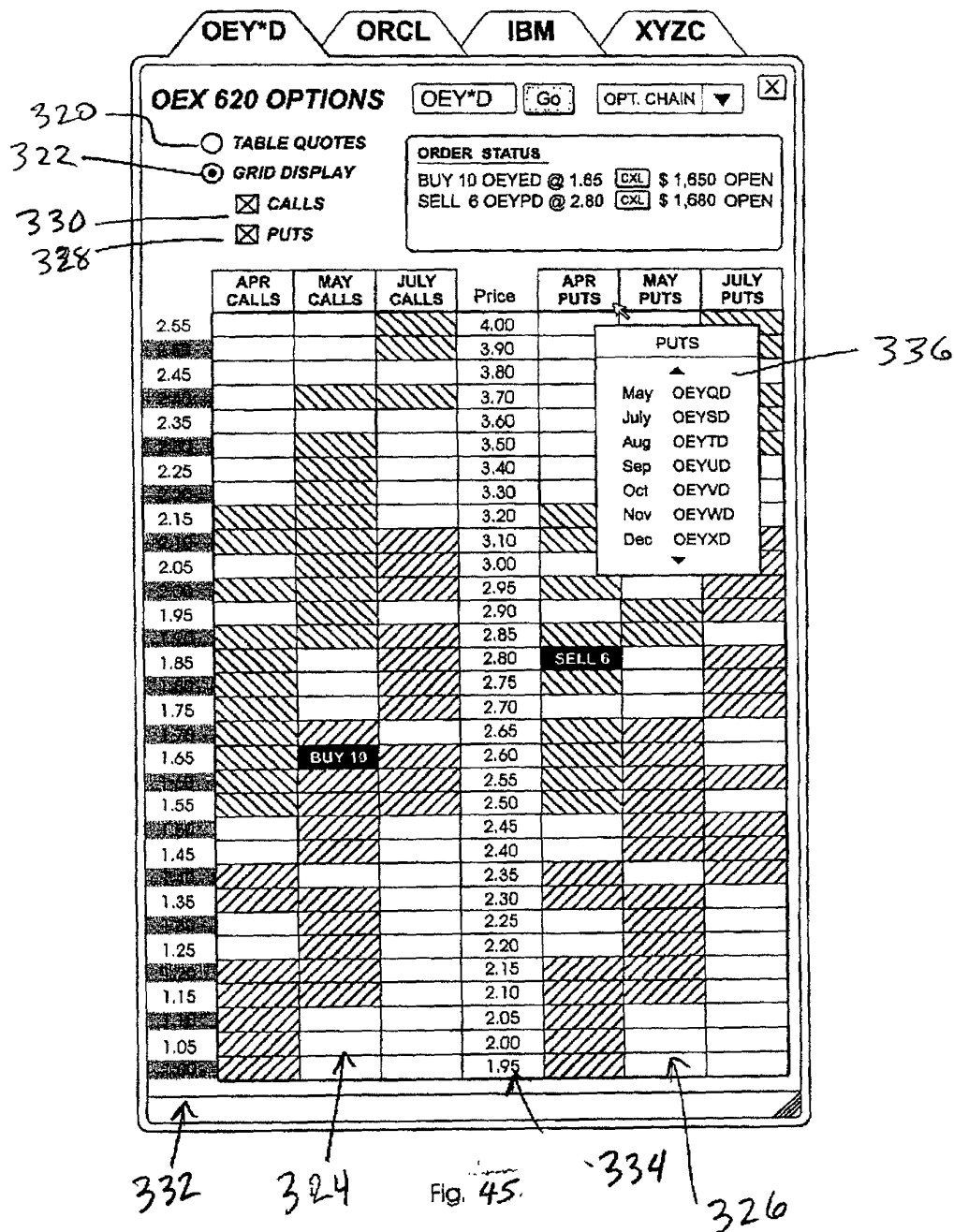
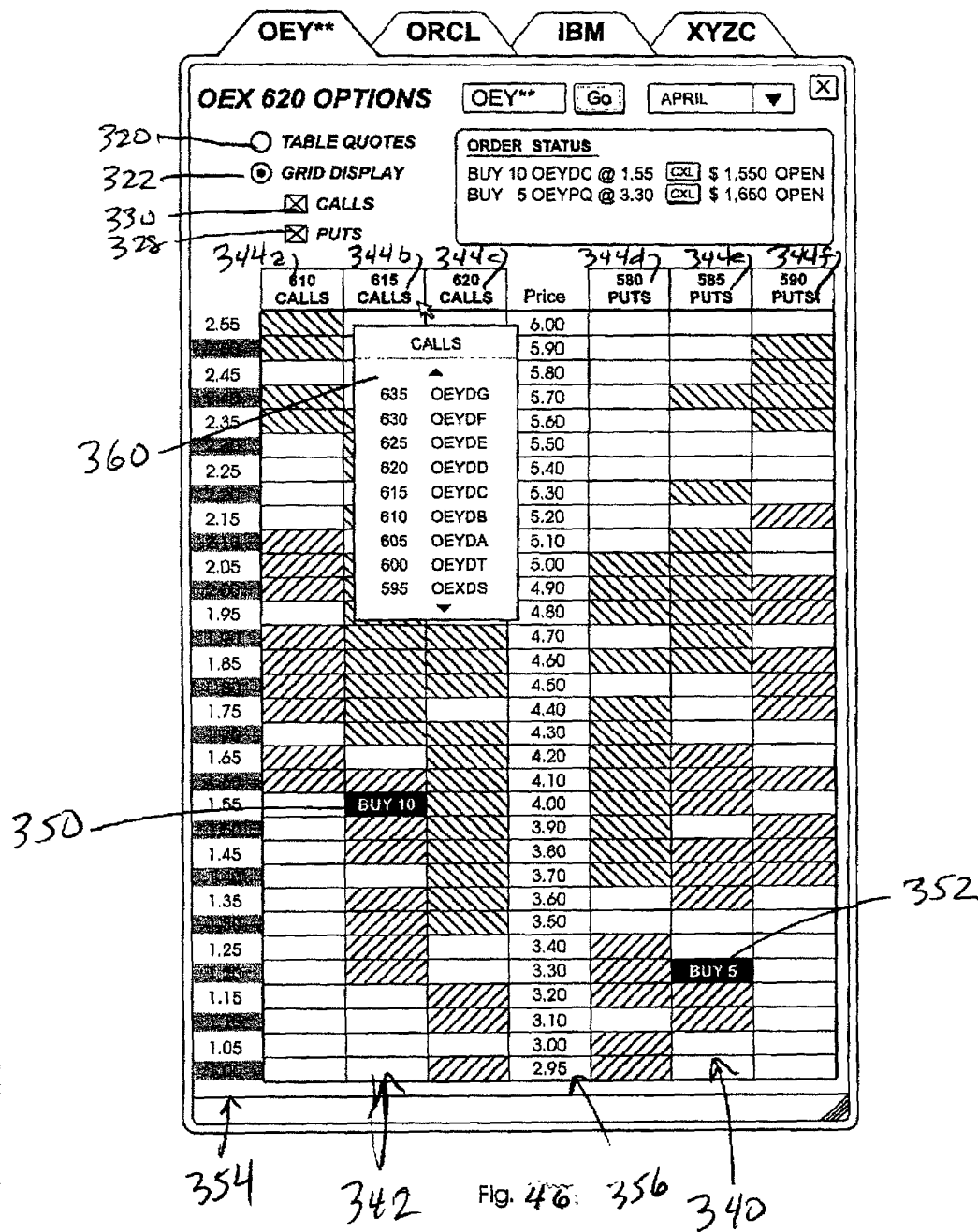


FIG. 46



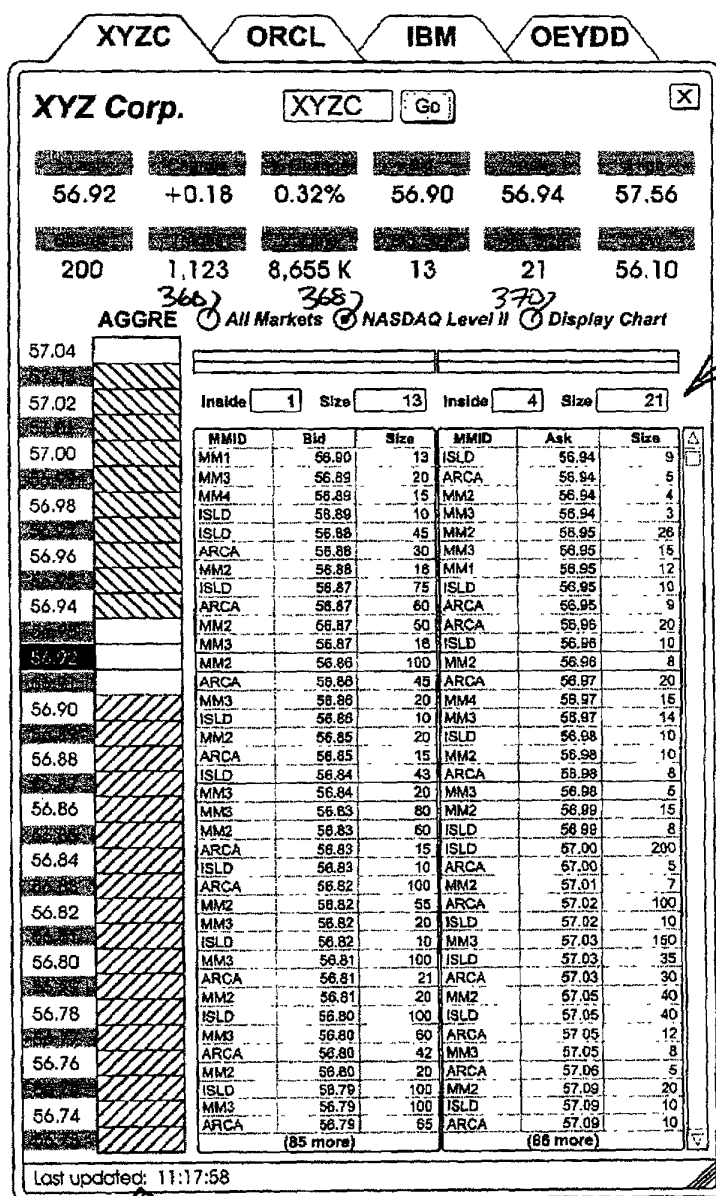
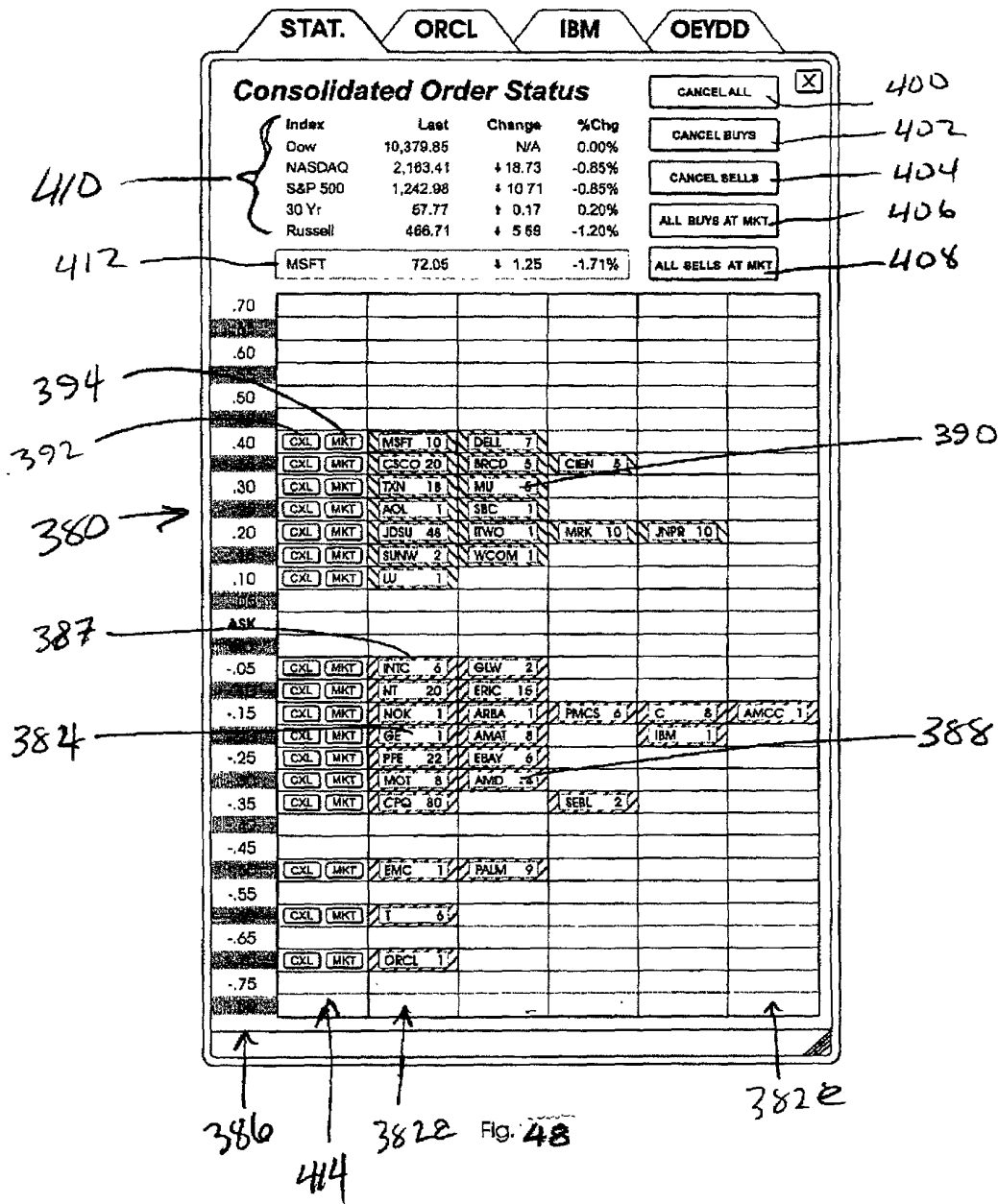


Fig. 47-



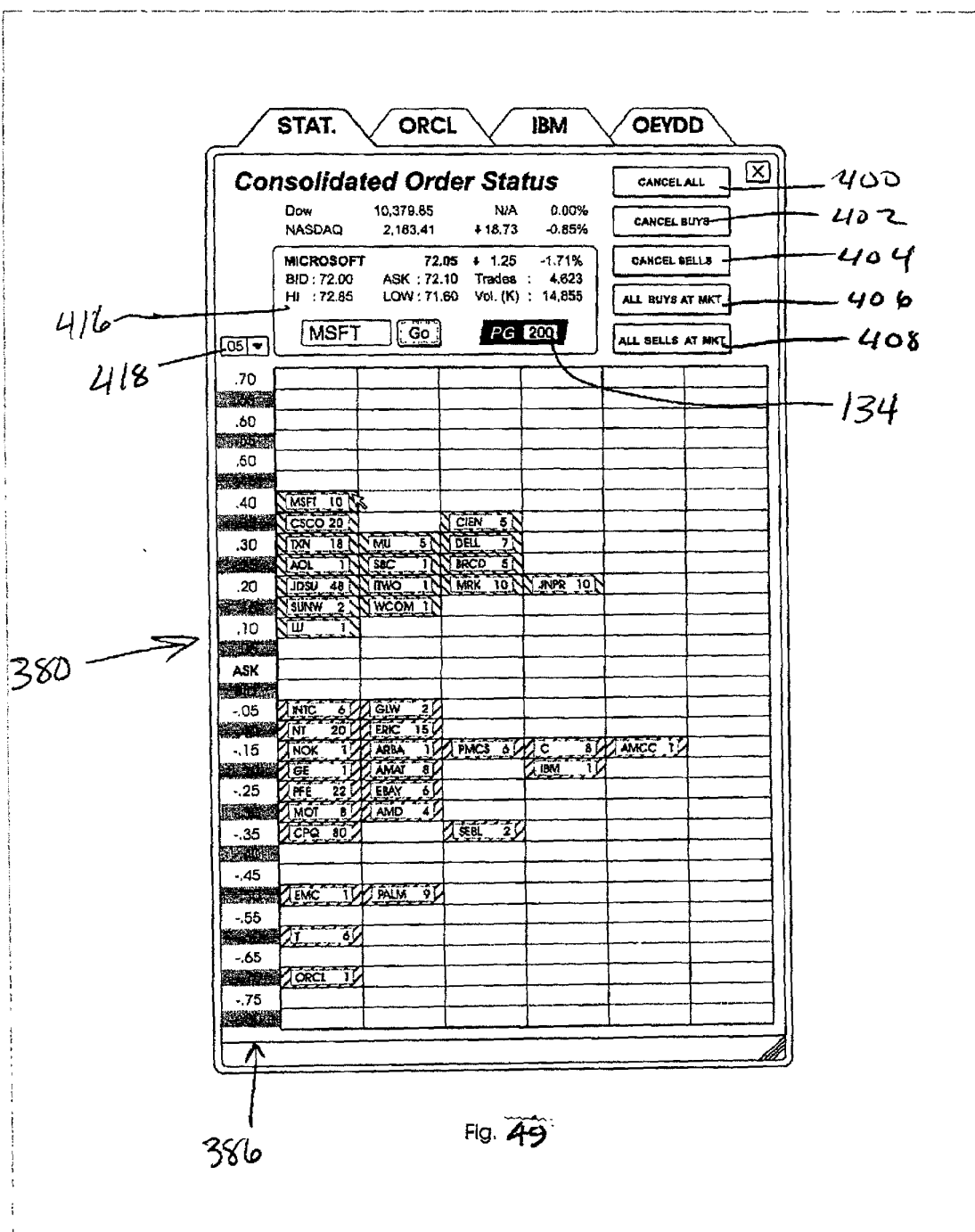
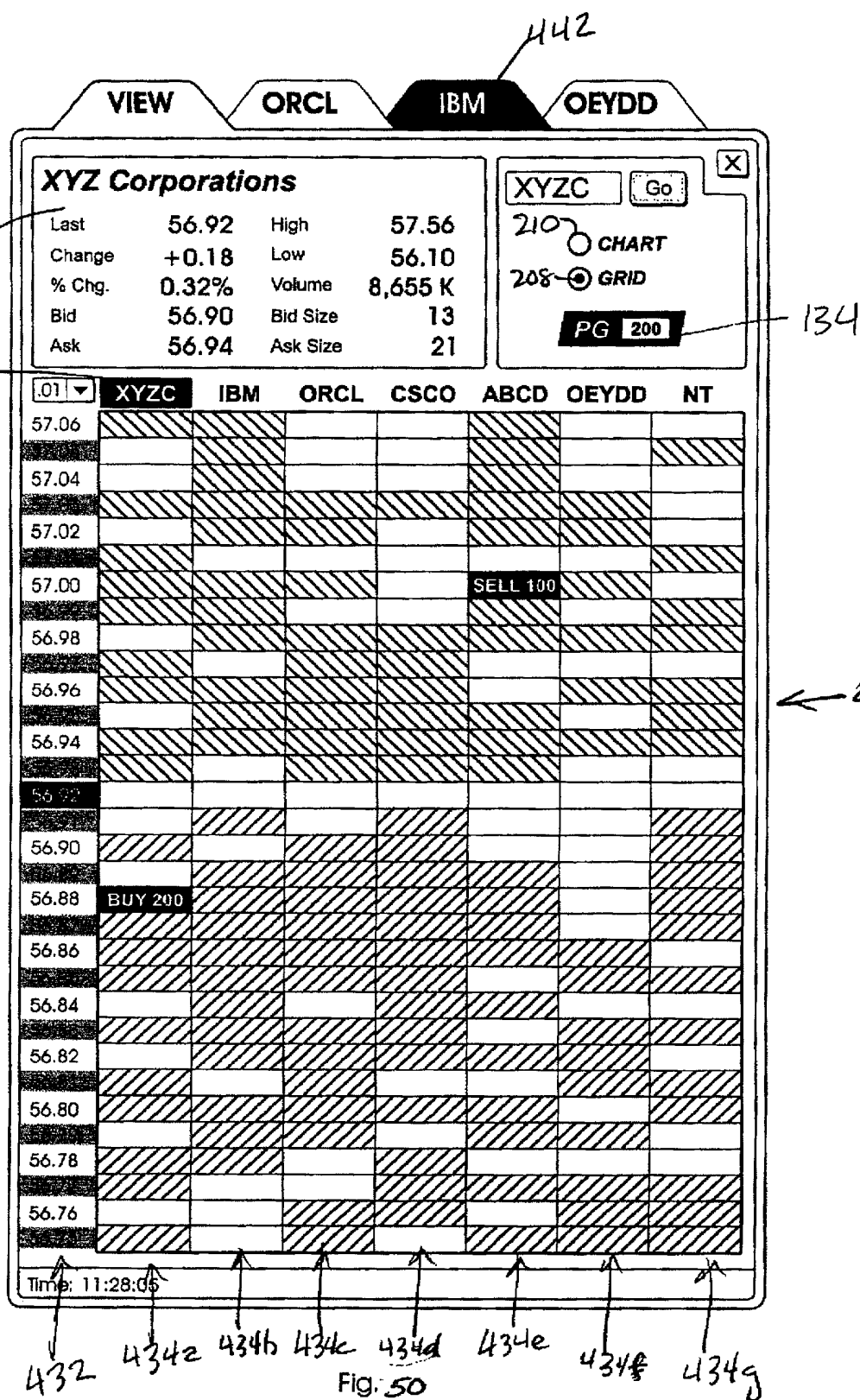
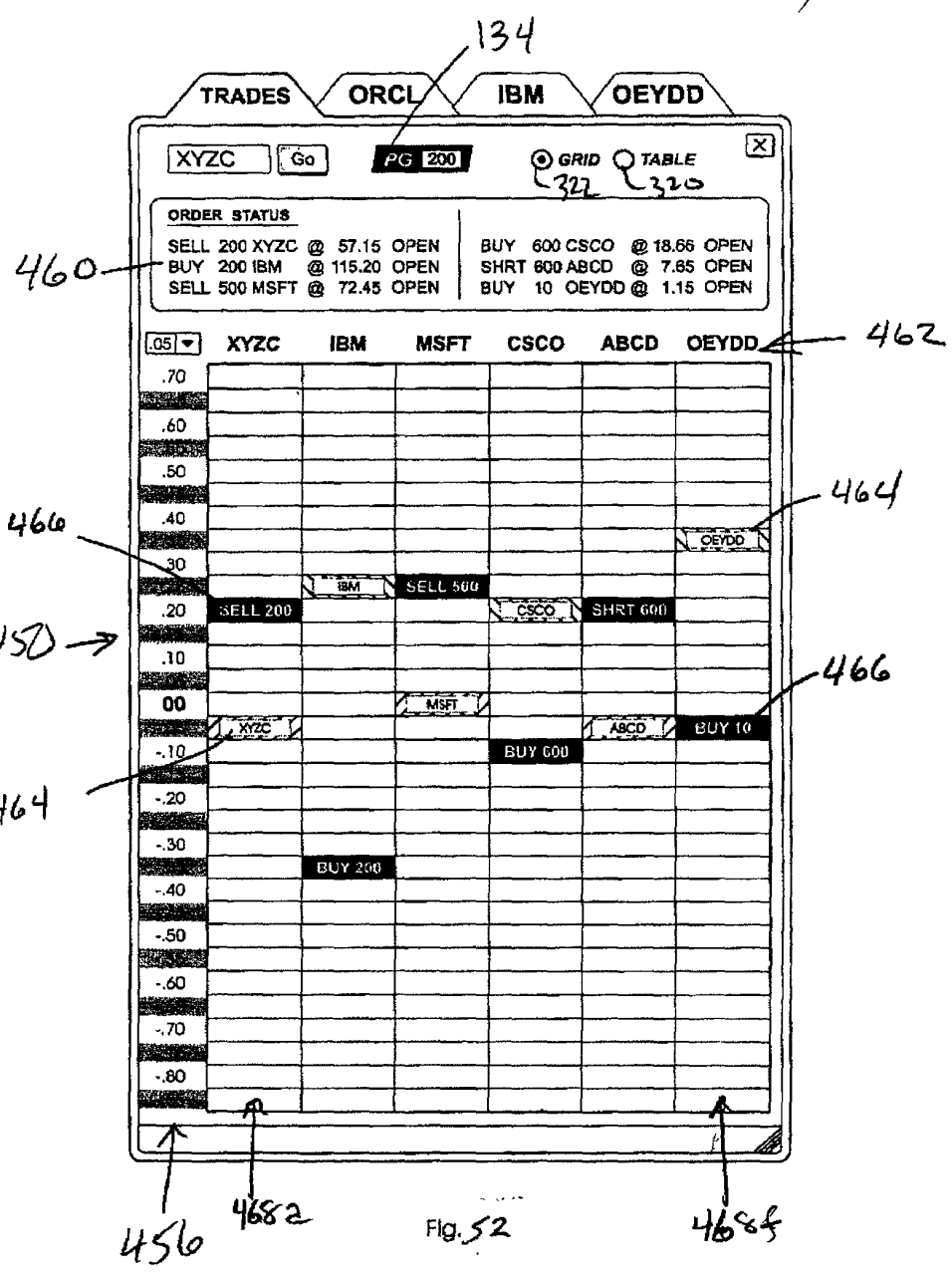


FIG. 50



[illegible]

FIG. 52



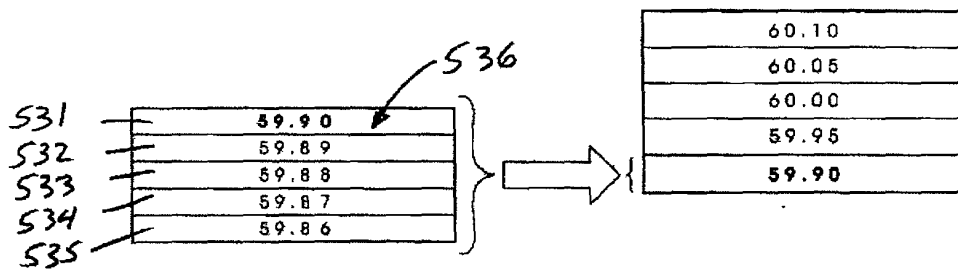


Fig. 53 a

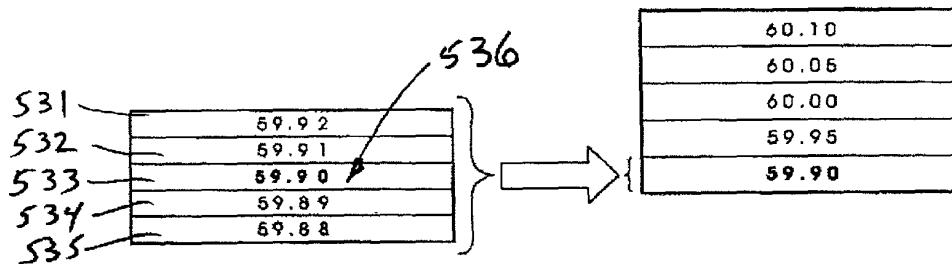


Fig. 53 b

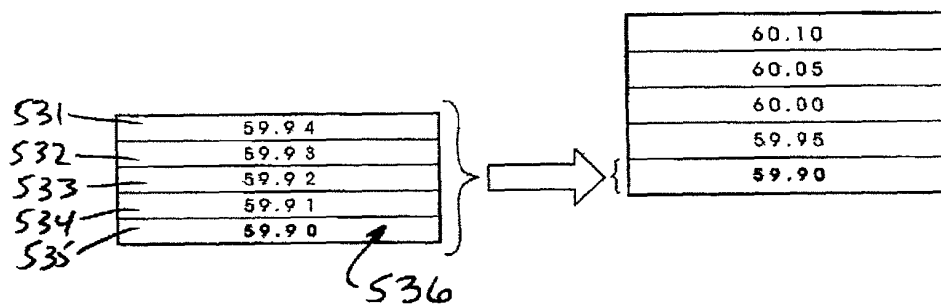
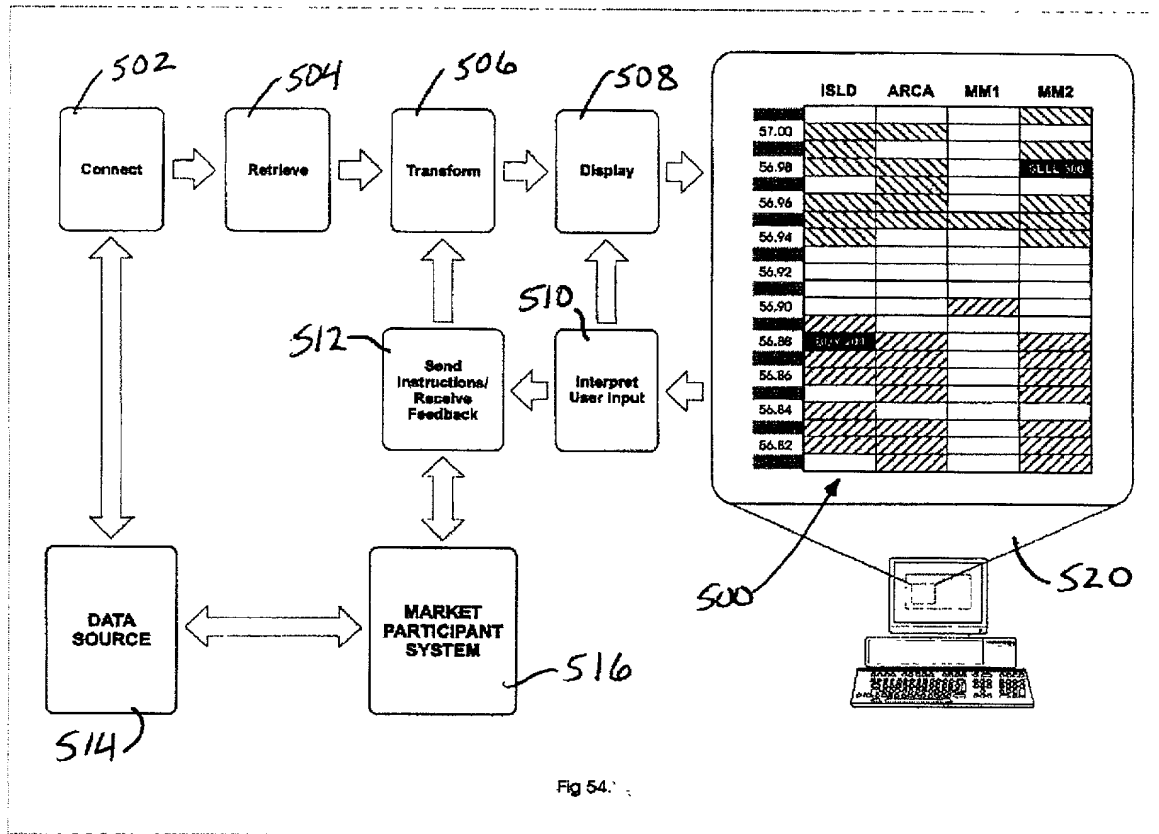


Fig. 53 c



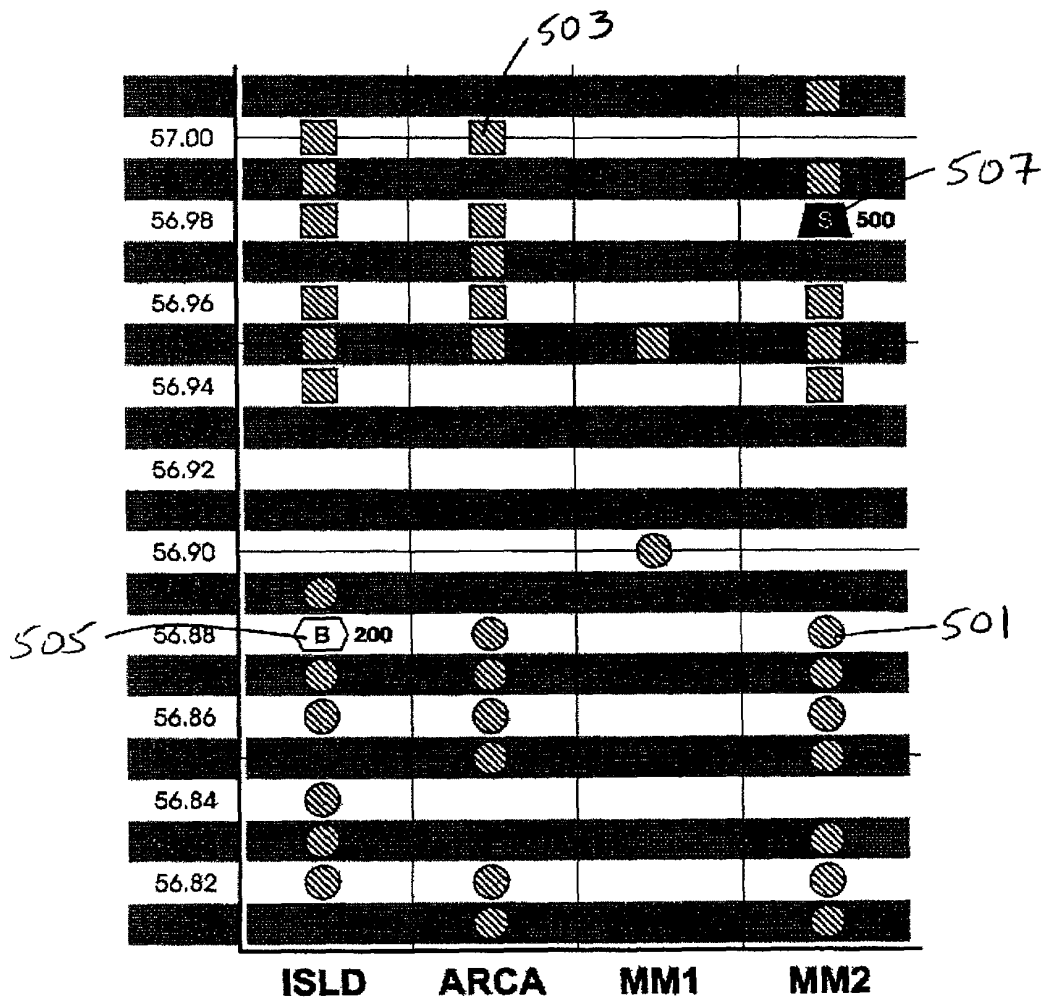


Fig 55